March 1, 2013

The Honorable Benoit Battistelli, President
European Patent Office

The Honorable Hiroyuki Fukano, Commissioner
Japan Patent Office

The Honorable Ho-won Kim, Commissioner
Korean Intellectual Property Office

The Honorable Tian Lipu, Commissioner
State Intellectual Property Office of the People’s Republic of China

The Honorable Teresa Stanek Rea, Acting Undersecretary of Commerce for
Intellectual Property and Acting Director of the U.S. Patent and Trademark Office
United States Patent and Trademark Office

Re: Making Global Dossier a High Priority

Dear IP5 Heads of Office,

The five undersigned Associations, which are all members of the Industry IP5 and participated in
the Global Dossier Task Force meeting held January 22-23, 2013, at the European Patent Office
in The Hague, Netherlands, would like to express their sincere appreciation for the opportunity to
discuss the Global Dossier with officials of your Offices. Our Associations particularly
appreciate the high level of cooperation and interest shown by the Offices, and especially the
willingness to listen to and consider the input and desires of industry in creating the Global
Dossier.

Our Associations consider the Global Dossier to be one of the highest priorities in the
discussions within IP5, and we have made our input to the Global Dossier project a high priority
within each of our Associations.
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In order to maintain the momentum generated by the very successful Task Force meeting in The Hague, we encourage each of your Offices to provide the resources needed to move the Global Dossier forward as quickly as possible, while maintaining the basic principles that the Offices and the Associations have been using as a basis of their discussions. While we understand there may be practical limitations based on IT and budgetary cycles, we strongly encourage your Offices to provide for the quickest implementation possible, without deviating significantly from the basic principles of the Global Dossier.

The participating Associations in The Hague drafted a statement of vision or principle concerning the definition of “What is the Global Dossier,” from the point of view of industry. We were extremely gratified to hear from our representatives that all of the Offices, while acknowledging this statement was made from the point of view of industry, indicated that their conception of the Global Dossier did not differ significantly from this statement.

We are now pleased to send you a formal version of the statement that has been approved by all of the industry Associations signing this letter. We hope this statement of principles will be helpful to the IP5 Offices in going forward with discussions on the Global Dossier, among themselves, and with industry.

Yours sincerely,

Jeffrey I.D. Lewis, President
American Intellectual Property Law Association (AIPLA)

Markus J. Beyrer
Director General
BUSINESSEUROPE

Richard F. Phillips, President
Intellectual Property Owners Association (IPO)

Yoichi Okumura, President
Japan Intellectual Property Association (JIPA)

Ahn, Seung-Ho, Chairman
Korea Intellectual Property Association (KINPA)

cc: Patent Protection Association of China
World Intellectual Property Organization
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Attachment

GLOBAL DOSSIER

The Global Dossier will constitute a set of business services, including an integrated on-line web portal/user interface, allowing two-way communication between users and all participating offices, singly or in multiples, with “passive” (database) and “active” (filing, prosecution and management) components. It will allow users to efficiently access all available information about all applications and patents in the participating offices, and to utilize the electronic services of the offices.

The Global Dossier will be accessible by applicants/patent owners and their representatives, examiners and other Office officials, interested third parties, and the public at large, while limiting access of confidential information to authorized persons. It will enable communication and collaboration between applicants and examiners, and among examiners in different offices, thereby facilitating the possibility of increased quality, work sharing and acceleration of examination. Machine translation will be an essential part of Global Dossier.

The Global Dossier is intended to enable and encourage streamlining and improvement of office procedures, including cross-communication and harmonization of procedures among the participating offices, in order to provide the most efficient and cost-effective user environment possible, especially for applications filed in multiple offices.