

## Sequence Submission Update

- There is now a form on the uspto.gov website for filing a CRF transfer request under 37 CFR 1.821(e).
  - Form PTO/SB/93 for filing either in paper or by EFS-Web.
- When filing a sequence listing via EFS-Web
  - A sequence listing filed as a PDF file satisfies only the requirement under R.821(c), i.e. it is equivalent only to a paper copy and is not a computer readable form (CRF). In contrast, a sequence listing filed as an ASCII text (TXT) file of will satisfy the requirements for both the "paper" copy under R.821(c) and the CRF under R.821(e).
  - It is not necessary to file a CRF transfer request under Rule 821(3) if the required sequence listing (i.e. "paper copy") under Rule 821(c) is filed as an ASCII (TXT) file. If a sequence listing ASCII text file is filed along with the transfer request, the ASCII file will be treated as both the "paper copy" under Rule 821(c) and the computer readable form under Rule 821(e) and the transfer request will not be processed.
- Please see Section I of the Legal Framework for EFS-Web at <a href="http://www.uspto.gov/patents/process/file/efs/guidance/New legal framework.jsp">http://www.uspto.gov/patents/process/file/efs/guidance/New legal framework.jsp</a>.