Global Dossier Initiative
Office of International Patent Cooperation

Improve the quality, efficiency and predictability of patent family prosecution, thereby improving the certainty of global patent rights.

**Improving Global Work Sharing**

- Develop office processes to assist in global work sharing
- Increase efficiency of applicant processes to improve global work sharing
- Resolve legal issues hindering global work sharing
- Provide IT solutions to enhance global work sharing
International Cooperation

- Cooperation among world IP offices
  - Trilateral
  - IP5
  - Multilateral
  - Bilateral

- Cooperation with IP stakeholder groups
  - Global Dossier Task Force
  - AIPPI, FICPI, ABA, PPAC, others
The IP5

- First meeting held May 2007

- Members:
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People’s Republic of China (SIPO)
  - United States Patent & Trademark Office (USPTO)
Global Dossier Task Force

Members:

- **IP5 Offices**
  - United States Patent and Trademark Office (USPTO)
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People's Republic of China (SIPO)

- **Industry IP5 Members**
  - American Intellectual Property Law Association (AIPLA)
  - Intellectual Property Owners Association (IPO)
  - Japan Intellectual Property Association (JIPA)
  - Korea Intellectual Property Association (KINPA)
  - Patent Protection Association of China (PPAC)
  - BUSINESSEUROPE

- **World Intellectual Property Organization (WIPO)**
Global Dossier is a set of business services modernizing the global patent system and delivering benefits to all stakeholders.
Benefits of Global Dossier

- Improved patent quality
- Higher value patents
- Increased ease of international filing
- Increased procedural harmonization

Cost savings - exploit more IP!
Global Dossier Services

- **Current Services**
  - **Public Access**: provides access to a user-friendly online interface, which will make it easier for patent applicants to quickly and easily view, monitor, and manage intellectual property (IP) protection around the world by providing access to the dossiers of related applications filed at participating offices.
  - **International Patent Family**: provides examiner access to work done by examiners on related applications at other offices.

- **Upcoming Future Services**
  - **Document Sharing Functionality**: sharing documents between office, such as prior art exchanges and supporting documents. Viewed as a first step towards cross-filing.
  - **WIPO CASE Linkage**: provides examiners and the public with access to additional participating offices outside of the IP5.
Global Dossier Usage

- Global Dossier Public Access
  - Approximately 20,000 accesses per day

- Global Dossier International Patent Family (Examiner Access)
  - Approximately 3,000 accesses per day
Global Dossier
First Release Demo
Global Dossier Family List

Welcome to Global Dossier

Enter number

Global Dossier Public Access

The first service being provided by the Global Dossier Initiative is a secure, online access to the file histories of related applications from participating IP Offices, which currently include the EPO Office. By using this service, users can select the Service Hours link on the top right corner of the website. To view the scheduled hours of availability, users can select the Service Hours link on the top right corner of the website.

For more information, please visit the Global Dossier Initiative page.
Global Dossier Family List

- Sorting indicator
- Office Action Indicator
- Filterings
- IP Office Type
  - All IP5 Offices
  - EPO
  - SIPO
  - KIPO
  - JPO
  - USPTO
  - Non-IP5 Offices
Global Dossier Family List

Download list of patent family members

Publication Link
Global Dossier Quick View

Collapse/Expand Quick View

Quick View Display

First Office Action (TRANSLATED)

The State Intellectual Property Office of People’s Republic of China

200233

Application No. or Publication

No.

Applicant Name: APPLIED MATERIALS, INC.

Title of Invention: Laser material removal methods and apparatus

First Office Action

1. Upon the request of substantive examination of the applicant, in accordance with Article 34.1 of the Patent Law, the State Intellectual Property Office performs the substantive examination for the original application for a patent for invention.

2. The applicant requests on the basis of:...
Global Dossier Collections

Add Application to Collections

Open Document in New Window
Download Document

Add to Collections

Add Application to Collections
Global Dossier History

History of viewed applications:
- US 13555455
- EP 09811971
- Publication
  - US 20070155322
Global Dossier - Dossier View
### Global Dossier Classification Data

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<td>{for moving elongated workpieces longitudinally, e.g., wire or strip material}</td>
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<td>B23K 26/0853</td>
<td>{Devices involving movement of the workpiece in at least two axial directions, e.g., in a plane}</td>
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<td>{In at least three axial directions}</td>
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Global Dossier Citations

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- View Full Patent Family
- View Application Dossier
- View Publication
Upcoming Features

- Enhanced Office Action indicator
- Document filtering
- Enhanced Office availability alerts
- Linkage with WIPO CASE
- PPH pilot
IP5 Priorities for Global Dossier

- **USPTO – “Proof-of-Concept for Inter-Office Exchange”**
  - Sharing documents between offices including for example, prior art exchanges, bib data updates, and supporting documents. Viewed as a first step towards cross-filing

- **EPO – “Alerting”**
  - Automated mechanism whereby each office alerts all the other offices, applicants, and representatives of changes in status to an application

- **JPO – “XML”**
  - Enabling each office, and possibly applicants and representatives, to download all application-related data from applications pending in other offices in XML format

- **KIPO – “Applicant Name Standardization”**
  - An automated mechanism that will assign a single, unique name to entities with applications pending in multiple office, including in instances where those entities may have used multiple names, or variations of a single name, to identify themselves

- **SIPO – “Legal Status”**
  - A mechanism to allow users to view the legal status of an application in another office
Stakeholder Input on Global Dossier

  - IdeaScale is one of the ways that OIPC will be gathering stakeholder input and feedback on Global Dossier.
    - Vote and comment on the various ideas
    - Provide additional suggestions for services and features that would improve the ability to monitor and manage related cases around the world

- Focus Sessions
  - Conducted to gather input and feedback on services

- Contact the Global Dossier Team
  - [GlobalDossier@USPTO.gov](mailto:GlobalDossier@USPTO.gov)
Global Dossier Access

Direct access link:
http://globaldossier.uspto.gov
Global Dossier Contacts

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Access to Relevant Prior Art
Background

- USPTO is studying how to increase examination efficiency and patent quality through providing examiners with access to relevant prior art and supplemental information at the earliest point in examinations.

- Additionally, exploring whether or not automatically importing relevant prior art into the US application file at the earliest point in examination would improve prosecution efficiency and quality and reduce the burden of duty of disclosure for applicants.
Current Issues: Examiner Perspective

- Examiners
  
  • **Awareness/availability**: how do examiners know that relevant prior art is available and how do they access it
  
  • **Efficiently obtained**: how does the relevant prior art get to the examiner in a manner and time that will increase search and examination efficiency and quality
  
  • **Value Recognition** – what supplemental information is available to assist the examiner in determining the relevance of prior art
Current Issues: Applicant Perspective

- Applicants

  - Acquiring Information: how do applicants efficiently obtaining the information necessary to meet their duty of disclosure and assist the examiner in making a proper patentability decision

  - Efficiently obtained: how do applicants avoid cumbersome, costly, and time consuming efforts to monitor prosecution in related or counterpart applications or external sources so as to obtain relevant prior art

  - Compliant presentation: how do applicants efficiently submit relevant prior art to the examiner in a time and manner such that it complies with relevant regulations
Anticipated Benefits

- Increase in patent quality
- Efficiency of examination is increased
- Examiner access to relevant prior art and supplemental information in a conveniently searchable manner
- Decreased applicant expense of submitting prior art documents and information disclosure statements
- Reduced burden for applicant compliance with duty to disclose information material to patentability
Timeline

- **Stage 1:** Three track parallel information gathering
  - **Track 1** – IT & Data Source Gathering
  - **Track 2** – Application Studies Data Gathering
  - **Track 3** – Data gathering from internal and external stakeholders

- **Stage 2:** Information Review

- **Stage 2.5:** Business Solution Development

- **Stage 3:** IT Development and Implementation
Thank you!