Patent Center Beta release training for participants

eMod Team
Office of Patent Information Management
United States Patent and Trademark Office
**Patent Center overview**

Replaces legacy patent application systems (EFS-Web, Public PAIR, Private PAIR) for filing and managing patent applications

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**Single interface**
- Features unified and intuitive interface for filing and managing patent applications
- Single search bar for retrieving applications

**Recent technology**
- Sponsorships carry over from EFS-Web and PAIR to Patent Center
- Updated infrastructure allows for more efficient USPTO system integration
- Enables increased functionality and overall system usefulness

**Authentication**
- Use your USPTO.gov account that is used to access EFS-Web and Private PAIR
- Role-based access
  - Practitioner
  - Support Staff
  - Independent Inventor
  - Guest
Patent Center overview

- DOCX/XML features including multi-section DOCX
- Upload multiple documents at a time
- Drag and drop for document upload
- Intuitive document codes/descriptions
- New look and feel (user interface)
- Save submissions at any time
Patent Center

Patent Center is the Next Generation System that will replace EFS-Web and PAIR for filing and managing patent applications.

Patent Center features a unified, user-friendly interface and will eventually incorporate all the capabilities of EFS-Web, PAIR, and much more.

- **Fall 2019** Patent Center limited beta release
  - System: Next Gen
  - Participants: external (via registration)
  - Invitee-only training sessions

- **Early 2020** Patent Center beta release open to public
  - Anyone may join the beta
  - Open training sessions
  - Incorporate additional features based on user feedback and stakeholder interests

- **Mid-2020** Continued refinement of Patent Center beta
  - Gather and review external input from stakeholders
  - Continue to add functionalities found in EFS-Web, Public PAIR, and Private PAIR
  - Continue to improve back end systems integration

- **2020-2021+** Patent Center with full functionality
  - Continue support of Patent Center beta and EFS-Web, Public PAIR, and Private PAIR
  - Refine previous features
  - Finish incorporating functionality found in EFS-Web, Public PAIR, and Private PAIR
  - Start to prepare for the decommission of EFS-Web, Public PAIR, and Private PAIR

- **2022** Decommission EFS-Web, Public PAIR, and Private PAIR
  - Decommission EFS-Web, Public PAIR, and Private PAIR
  - Continue to add new functionality based on user feedback
Guidelines highlights

• Utilize Patent Center for the features that are available. Features that are not yet available can be accessed via EFS-Web and PAIR.

• The use of Patent Center will not change any statutory requirements.

• Refrain from submitting the same documents through both Patent Center and EFS-Web.

• Contact the EBC by phone, email, and/or the eMod mailbox to document errors, problems, or questions during the beta release period.

• Notify the USPTO eMod Team through the Patent Center Beta Release IdeaScale Campaign with any helpful suggestions for new or improved features.
### Filing types available

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*Available*

*Available with DOCX*

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Do not attempt to submit follow-on submissions for unsupported filing types.
Filing highlights

• Web-ADS or Upload Fillable Form (AIA 14)
• Entered fee attributes, such as filing type and entity status, will determine the fees to be listed (unavailable fees will not be displayed)
• Ability to calculate and pay fees for extension of time for an application
• Multiple documents may be uploaded at once
• Saved submissions will now be stored for 14 days (an increase from the previous seven days in EFS-Web)
• Submission receipt and payment receipt
• Automated document code assignment is more accurate
DOCX highlights

- Safe and stable for creating, authoring, and processing IP documents
- Multi-section documents (specification, claims, and abstract on one single document) is now available
- Automatic page numbering
- Paragraph numbering validation for specification
- Detect independent and dependent claim counts
- Feedback document will show independent and dependent claim count
- Improved validation of claims
  - Improper claim dependency
  - Missing claim numbering
  - Duplicate claim numbering
  - Claim must end in a period
  - Claim must begin with a capital letter
  - Claim must not contain more than one sentence
- Automatic English detection
Retrieval highlights

- Single search bar for retrieving applications
- New filters to minimize applications/submissions displayed
- Customizable views with the ability to add and remove columns
- Option to download XML from all pages, a single page, or multiple applications on page
- Preview and download outgoing correspondence
- Modify single and multiple attorney docket numbers
- Docket number filter for exact, starts with, and contains options
- View and download receipts for the last 40 submissions filed
- Create/update customer number
  - Attorneys not currently enrolled in Patent Center will be displayed
  - Independent Inventors can now create a new customer number
- Sponsorship
  - View active and pending requests for sponsorship
  - Remove current sponsorships
  - Approve or deny sponsorship requests (practitioner)
Patent center interface
Authentication

Registered user

Guest user

Warning/Error Message

As a guest user, you can file a new application and view public applications. Please sign-in or register for Patent Center, in order to have full access to all features, such as the ability to save your submission to resume at a later time and to submit additional documents/fees to an existing application.
Patent Center dashboard

Search for a patent application
Search by application number, patent number, PCT number, publication number, or international design registration number.

Activities
Perform activities from submissions to post grant request and admin tasks such as managing customer numbers & practitioner associations with customer number

- New Submission
  - File utility, design, national stage and international submissions

- Existing Submissions
  - Add additional documents, pay fees, submit a corrected AOS, and much more

- Post Grant
  - Perform post grant activities such as a request to reissue, or a request for reexamination

- Workbench
  - View your saved submissions, applications, and correspondence

- Petitions
  - File petitions for automatic processing and immediate grant, if all requirements are met

- Manage
  - Manage your customer numbers, or update application address

*NOTE: For information on the Paperwork Reduction Act as it pertains to ePetitions and Web-based application data sheets, please see the OMB Clearance and PRA Burden Statement page.*

Introducing Training Mode
USPTO is excited to introduce the new ‘Training Mode’ for Patent Center where users can...

- Not assured, while in training mode:
  - 1. Data entered will not be saved
  - 2. Data entered will not be submitted to the USPTO systems
  - 3. Applications filed will not be associated with your customer number

Switch to training mode

Try training mode to practice filing

Limited guest use
Available only to signed in registered users
Recognizing training mode
Patent Center–submissions management

Existing submissions
File documents related to existing submissions, applications and proceedings

Upload Documents / Pay Fees
Upload additional documents such as amendments, information disclosure statements (IDS), replies to Office actions and notices, evidence, petitions, and other documents filed after the filing of a patent application or request for reexamination and/or pay fees for an existing application.

Corrected Application Data Sheet
Generate an ADS with the appropriate mark-ups such as underlining for additions, and strike-through or brackets for deletions.
Read more...

Web-Based Issue Fee Transmittal
Generate and submit the PTOL/536 form and issue fee, in a patent application which has received the notice of allowance.
Read more...

eTerminal Disclaimer
Submit a terminal disclaimer for immediate processing, given all requirements are met.
Read more...
Patent Center–workbench–saved submissions
## Patent Center–workbench–applications

### Patent Center

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### Refine by
- Customer Numbers
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### Additional Filters
- Docket #
- Application #
- Status category
- Status date

### Actions
- Download XML
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Petitions

The petition PDF form is not required for the following of Petitions. Petition information will be entered directly into the system. See the Resources Page for additional information.

PETITIONS - PENDING

Petition to make special based on age (37 CFR 1.102)
Submit a petition for accelerated examination by providing a statement of age (minimum 65 years of age) for one or more inventors
Read more...

Request for Withdrawal as Attorney or Agent of Record (37 CFR 1.36)
A registered patent attorney or patent agent who has been given a power of attorney pursuant to § 1.32(b) may withdraw as attorney or agent of record.
Read more...

Petition for Revival of an Abandoned Patent Application Abandoned Unintentionally (37 CFR 1.137(a)) (For Cases Abandoned After 1st Action and Prior to Notice of Allowance)
File a petition for revival of abandoned application, or terminated or limited reexamination prosecution.
Read more...

PETITIONS - ALLOWED

Petition to withdraw from Issue after Payment of the Issue Fee (37 CFR 1.313(c)(1) or (2))
Submit a petition requesting to withdraw from issue after payment of the issue fee
Read more...

Petition to Withdraw from Issue after Payment of the Issue Fee (37 CFR 1.313(c)(1) or (2) with Assigned Patent Number)
Submit a petition requesting to withdraw from issue after payment of the issue fee
Read more...

Petition to Withdraw from Issue after Payment of the Issue Fee (37 CFR 1.313(c)(3))
Submit a petition requesting express abandonment after payment of the issue fee
Read more...

Petition to Withdraw from Issue after Payment of the Issue Fee (37 CFR 1.313(c)(3) with Assigned Patent Number)
Submit a petition requesting express abandonment after payment of the issue fee
Read more...

Petition to Accept Late Payment of Issue Fee - Unintentional Late Payment (37 CFR 1.137(a))
Patent Center—customer number management

![Patent Center interface](image)

**Customer numbers**

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10 per page ▼  Page 1 of 4 ▼ ▼ 1 2 3 4 ▼
### Patent Center—intuitive application viewer

#### Application Data

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- **Application type**: Utility
- **Examiner**: [Redacted]
- **Group art unit**: OPA
- **Class/subclass**: 118/[Redacted]
- **AIA (first inventor to file)**: Yes
- **Entity status**: Regular Undiscounted

#### Correspondence address

- **Correspondence address**: 59 - Oct 5 2020
  
  650 DULANY STREET
  Alexandria, VA
  UNITED STATES

#### Inventors

- **Inventors**: Data not available

#### Applicants

- **Applicants**: Royal Palm Beach (US)
Patent Center–sponsorship management

Practitioner support users being sponsored from this tool will be granted access to the following systems:
- Patent Center
- EFS Web
- Private PAIR

Sponsoring 4 practitioner support users

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Pay fees via financial manager

The same USPTO.gov account can be used to access stored payment methods in Financial Manager.

Each individual USPTO.gov account needs to be assigned “fee payer” role via Financial Manager.
Filing DOCX In Patent Center
Filing—drag and drop

Utility Nonprovisional

Nonprovisional Application under 35 USC 111(a)

Upload documents

Add files that you wish to accompany your patent application submission. To add more files, use the file upload interaction or drag & drop them into the area below.

Upload documents

Select file(s)...

Total documents uploaded: 2

The PDF(s) have been generated from the docx file(s). Please review the PDF(s) for accuracy. By clicking the submit button, you agree to accept any changes made by the conversion and that it will become the final submission.

- [ ] OSpecOnlyFullPASSNoPage.docx  (48 KB / 9 pages) Specification

- [ ] abstract_over_150.docx  (14 KB / 1 page) Abstract
  
  Word count in abstract section is over 150 words.

Back

Cancel submission  Save  Continue
Filing DOCX—warnings and errors

Upload documents
Add files that you wish to accompany your patent application submission. To add more files, use the file upload interaction or drag & drop them into the area below.

Total documents uploaded: 1

The PDF(s) have been generated from the docx file(s). Please review the PDF(s) for accuracy. By clicking the submit button, you agree to accept any changes made by the conversion and that it will become the final submission.

Errors must be corrected before filing
Documents with warnings can be filed
Filing DOCX–viewing documents

Upload documents
Add files that you wish to accompany your patent application submission. To add more files, use the file upload interaction or drag & drop them into the area below.

Upload documents  Select file(s)...

Total documents uploaded: 1
The PDF(s) have been generated from the docx file(s). Please review the PDF(s) for accuracy. By clicking the submit button, you agree to accept any changes made by the conversion and that it will become the final submission.

Feedback Document
Quick Viewer
Application sections are detected
Download DOCX and PDF Files

No page numbers were detected. Page numbering will be automatically applied after submission.

Detected: Specification, Claims, Abstract
Feedback summary and specification feedback

BRIEF SUMMARY:

FIELD OF THE INVENTION

BACKGROUND OF THE INVENTION

[0001]...

[0002]...

[0003]...

[0004]...

USPTO
Feedback Summary:

- Independent claim count = 1
- Dependent claim count = 3

Error • The page size of this document exceeds Letter or A4. Please correct the page size before proceeding.

Warnings (6) • The specification paragraph numbering is not in the proper format:
- At least one claim number appears to be missing or out of order.
- The claims appear to contain duplicate claim numbering. (2 instances)
- The claims appear to contain an improper dependency with at least one claim that depends on itself.
- The claims appear to contain an improper dependency with at least one claim that does not depend on a previous claim.
- Word count in abstract section is over 150 words.

USPTO
Warning
Specification paragraph numbering should contain at least four numerals.
Claims feedback

Claims

1. A financial instrument comprising:

2. An article as defined in claim 1,

3. An article as defined in claim 3

USPTO
Independent claim

USPTO
Warning
This claim appears to contain a claim with an improper dependency with at least one claim that does not depend on a previous claim.

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Warning
This claim appears to contain an improper dependency with at least one claim that depends on itself.

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Warning
The claims appear to contain duplicate claim numbering.

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Warning
The claims appear to contain duplicate claim numbering.

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This claim number appears to be missing or out of order.
Abstract feedback

Abstract

This is an example of an abstract of the invention. In this abstract example we will exceed 150 words. Since MPEP section 608.01(b) recommends that the number of words in the abstract be within the range of 50-100 words, this is a useful detection provided by our USPTO DOCX system. After detection of the abstract of the invention, the number of words are validated. Upon uploading the abstract, the user is immediately notified if they have exceeded this amount and a feedback document is provided to the user pre-submission. Upon opening the feedback document, a message indicated that the number of words in the abstract has been exceeded will be shown. The user may desire to delete, modify and upload the abstract again. The user will be able to easily identify where the number of words are exceeded since the system will indicate the exact location of the extra words. Here are the extra words.
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