

## **American Intellectual Property Law Association**

February 3, 2019

全国人大常委会法制工作委员会 北京市西城区前门西大街1号 中华人民共和国 邮编: 100805

The Sub-Committee of Legislative Affairs of the Standing Committee of the National People's Congress

No. 1 Qianmen Xidajie, Xicheng District, Beijing 100805 Peoples Republic of China

Re: Request for Comments on the People's Republic of China Patent Law (Draft Amendment) (专利法修正案草案征求意见)

Dear Sir or Madam,

The American Intellectual Property Law Association (AIPLA) appreciates the opportunity to comment on the People's Republic of China Patent Law (Draft Amendment)(published on Chinese National People's Congress Network January 4, 2019), and include the attached table listing our detailed comments, some of which are also summarized below.

AIPLA is a national bar association of approximately 13,500 members engaged in private or corporate practice, in government service, and in the academic community. AIPLA members represent a wide and diverse spectrum of individuals, companies, and institutions involved directly or indirectly in the practice of patent, trademark, copyright, trade secret, and unfair competition law, as well as other fields of law affecting intellectual property. Our members represent both owners and users of intellectual property. Our mission includes helping establish and maintain fair and effective laws and policies that stimulate and reward invention while balancing the public's interest in healthy competition, reasonable costs, and basic fairness.

AIPLA respectfully notes that the recent proposal of the fourth amendment to the Chinese Patent Law, in many aspects, strives to bring the Chinese patent system more closely into alignment with international norms. AIPLA warmly welcomes such effort and the incorporation of many suggestions found in AIPLA comments (submitted on January 1, 2016) to the December 2015 draft Fourth Amendment. However, AIPLA is concerned that some changes that were in the December 2015 version are either removed or scaled back.

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More specifically, AIPLA notes that partial design is omitted from the definition of "designs" in Article 2 of the current amendment proposal. AIPLA believes that partial design and parts of a product, just as much as the product as a whole, should be independently protectable as a design, assuming it meets the aesthetic appeal and practical use requirements.

Article 5 prohibits granting patent rights to invention that is against the law or in violation of ethical standards. AIPLA respectfully suggests moving the good faith requirement when applying for a patent from new Article 20 to Article 5, which is a more prominent place for this important requirement.

Article 6 sets forth the provision related to the ownership and renumeration of service inventions. AIPLA commends the provision for providing clarity and more incentives for employees to contribute to invention-creation. AIPLA notes that the monetary and non-monetary award for employee invention-creation is tied only to patent filing and practice. AIPLA is concerned that such provision may encourage unnecessary or inappropriate patent filings in situations in which patents may not be the optimal protection mechanism.

New Article 20 sets forth the good faith principle in applying for patents and enforcing patent rights and the proscription against patent right misuse. AIPLA recommends moving the first part concerning good faith requirement to Article 5 and removing the second part concerning patent right misuse. AIPLA is concerned about the broad and vague language used in the second part of this new article and the potential conflict with other relevant existing legislation it may cause, e.g., Article 55 of Antimonopoly Law. The further provision relating to "public interests," is very broad and its scope is vague and uncertain. Construed broadly, it would encompass a wide variety of public interests that would be inconsistent with international norms of intellectual property protection employed by China's major trading partners.

Article 26 adds methods of nuclear transformation to the list of patent-ineligible subject matters. AIPLA recommends further clarification of the definition and scope of "methods of nuclear transformation," on whether innovative apparatus or device for inducing or controlling nuclear transformation processes in the fields of medical treatment, energy production, and other commercial utilization. AIPLA also notes the removal of the proposed change in the prior draft in the term "(3) methods for the diagnosis or for the treatment of diseases, except for those concerning farmed animals;". AIPLA had welcome such change as a favorable development that can bring Chinese law more fully consistent with international standards, and is disappointed to see that the current draft no longer includes such change.

Article 31 provides the extension of time limit to provide priority documents for invention and utility applications. AIPLA commends such change and believes that, for the same reasons, comparable and appropriate extension of time limit to provide priority documents for design patent application should also be considered.

Article 43 provides patent term extension of patents on innovative drugs to compensate for the time taken to evaluate and obtain marketing approval of such drugs. AIPLA applauds this

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change but respectfully notes that clarification of several terms used in the provision, such as "innovative drugs," may be needed.

New Article 50, 51, and 52 provide an open mechanism in which patentees can license their patents voluntarily and on their terms. AIPLA supports the principle of transparency to the extent possible but have a number of concerns regarding the mechanism described in the provisions. For example, Article 52 provides that the Chinese National Intellectual Property Administration (CNIPA) can arbitrate or adjudicate disputes that arise from such open mechanism. AIPLA believes that this new provision may discouraging voluntary negotiations between the involved parties. A party may not negotiate in good faith in the expectation that the agency may become involved and improve its bargaining position, gaming the system. Dispute resolution is an extremely complex social interaction that requires substantial experience, understanding of human and commercial behavior, and experience and skills relating uniquely to alternative dispute resolution, in contrast to other forms of dispute resolution that involve judgment or evaluation of the merits. AIPLA is concerned that, because the traditional responsibilities of CNIPA does not include mediating commercial disputes and that mediation is outside the mandated scope of the Patent Act, CNIPA may lack the experience and resources to effectively mediate commercial disputes. AIPLA respectfully submits that courts may be able to resolve such disputes more efficiently and effectively.

Article 66 provides that both parties in a patent infringement dispute can provide patentability assessment report related to the dispute. However, AIPLA is concerned that such report submitted by one party in an adversarial proceeding may be biased and self-serving, therefore suggests making such report accessible to the other party (and third parties) so that the other party is given an opportunity to review and rebut if needed.

Article 69 allows law enforcement agencies as well as patent administrative agencies to investigate, inspect, and retain evidence related to patent infringement disputes. AIPLA remains concerned that the proliferation of administrative enforcement mechanisms at the country, provincial, and municipal level may create additional conflicts and prevent the development of clear uniform rules and practice. AIPLA respectfully submits that private enforcement through the courts should be the primary enforcement mechanism and may be better able to serve these goals more efficiently.

New Article 70 provides the patent administration department under the State Council discretion to handle any dispute over patent infringement that has a significant impact throughout the country. AIPLA respectfully submits that, consistent with international norms of patent protection and the provisions of TRIPS and WTO, private enforcement should be the primary mechanism for enforcement of patent rights. Thus, China's courts, rather than administrative agencies, may be the better authority empowered to handle patent disputes, absent agreement of all parties. AIPLA is concerned that dividing enforcement authority between the courts and administrative agencies may lead to weakening of private enforcement, inconsistency in such determinations, and increasing unpredictability of business and the markets to the ultimate detriment to an innovative society.

Article 72 increases the amount of damages available for willful infringement and provides methods to mitigate evidentiary challenges in proving damages when defendant is in control

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of the relevant evidence. AIPLA commends the revisions but remains concerned about the ambiguity that may exist in the specific wording used in the provision.

Article 75 increases the statute of limitation in bringing a patent infringement lawsuit. AIPLA welcomes such change as it moves towards international norms.

AIPLA appreciates the opportunity to provide these comments on the proposed changes to the Chinese Patent Law, and we would be happy to answer any questions that our comments may raise.

Sincerely,

Sheldon H. Klein

President

American Intellectual Property Law Association

Enclosure: Detailed AIPLA Comments to People's Republic of China Patent Law (Draft Amendment)

## 中华人民共和国专利法修订草案(修正案草案)

## 修改条文对照表及 AIPLA 对专利法修订草案的意见

Current People's Republic of China Patent Law, Fourth Amendment for Approval (issued January 2019), and AIPLA Comments to Draft Fourth Amendment

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
第一章 总 则 Chapter 1 General Provisions	第一章 总 则 Chapter 1 General Provisions	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
第一条 为了保护专利权人的合法权益,鼓励发明 创造,推动发明创造的应用,提高创新能 力,促进科学技术进步和经济社会发展, 制定本法。	第一条 为了保护专利权人的合法权益,鼓励发明 创造,推动发明创造的应用,提高创新能 力,促进科学技术进步和经济社会发展, 制定本法。	
Article 1 This Law is enacted for the purpose of protecting the lawful rights and interests of patentees, encouraging invention/creation, promoting the application of invention/creation, enhancing innovation capability, promoting the science and technology advancement and the economic and social development.	Article 1 This Law is enacted for the purpose of protecting the lawful rights and interests of patentees, encouraging invention/creation, promoting the application of invention/creation, enhancing innovation capability, promoting the science and technology advancement and the economic and social development.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第二条 本法所称的发明创造是指发明、实用新型和外观设计。 发明,是指对产品、方法或者其改进所提出的新的技术方案。 实用新型,是指对产品的形状、构造或者其结合所提出的适于实用的新的技术方案。 外观设计,是指对产品的形状、图案或者其结合以及色彩与形状、图案的结合所作出的富有美感并适于工业应用的新设计。	第二条 本法所称的发明创造是指发明、实用 新型和外观设计。 发明,是指对产品、方法或者其改进 所提出的新的技术方案。 实用新型,是指对产品的形状、构造 或者其结合所提出的适于实用的新的技术 方案。 外观设计,是指对产品的形状、图案 或者其结合以及色彩与形状、图案的结合 所作出的富有美感并适于工业应用的新设 计。	
Article 2	Article 2	AIPLA respectfully notes that partial design
For the purposes of this Law, invention/creations mean inventions, utility models and designs.  Inventions mean new technical solutions	For the purposes of this Law, invention/creations mean inventions, utility models and designs.  Inventions mean new technical solutions	is omitted from the definition of "designs" in Article 2 of the current amendment proposal. AIPLA believes that partial designs and parts of a product, just as much as the product as a
proposed for a product, a process or the improvement thereof.  Utility models mean new technical solutions proposed for the shape and structure	proposed for a product, a process or the improvement thereof.  Utility models mean new technical solutions proposed for the shape and structure	whole, should be independently protectable as designs, assuming they meets the aesthetic appeal and practical use requirements.  Parts of a product design are independently

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
of a product, or the combination thereof, which are fit for practical use.  Designs mean, with respect to a product, new designs of the shape, pattern, or the combination thereof, or the combination of the color with shape or pattern, which are rich in an aesthetic appeal and are fit for industrial application.	of a product, or the combination thereof, which are fit for practical use.  Designs mean, with respect to a product, new designs of the shape, pattern, or the combination thereof, or the combination of the color with shape or pattern, which are rich in an aesthetic appeal and are fit for industrial application.	protectable in many of China's trading partners and under international norms of intellectual property protection. This protection is particularly important for designs that achieve international recognition.  AIPLA is aware of the possibility that protection for partial designs may be provided in an upcoming revision of the Examination Guidance, which AIPLA believes can signify a step toward patent law harmonization among major IP countries.
第三条 国务院专利行政部门负责管理全国的 专利工作;统一受理和审查专利申请,依 法授予专利权。 省、自治区、直辖市人民政府管理专	第三条 国务院专利行政部门负责管理全国的 专利工作;统一受理和审查专利申请,依 法授予专利权。 省、自治区、直辖市人民政府管理专	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
利工作的部门负责本行政区域内的专利管理工作。	利工作的部门负责本行政区域内的专利管 理工作。	
Article 3	Article 3	
The patent administration department	The patent administration department	
under the State Council shall be responsible for	under the State Council shall be responsible for	
the administration of patent affairs nationwide.	the administration of patent affairs nationwide.	
It shall accept and examine patent applications	It shall accept and examine patent applications	
in a uniform way and grant patent rights in	in a uniform way and grant patent rights in	
accordance with law.	accordance with law.	
The departments administering patent	The departments administering patent	
affairs at governments of provinces,	affairs under governments of provinces,	
autonomous regions, and municipalities	autonomous regions, and municipalities	
directly under the Central Government shall be	directly under the Central Government shall be	
responsible for patent administration within	responsible for patent administration within	
their respective administrative regions.	their respective administrative regions.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
<b>第四条</b> 申请专利的发明创造涉及国家安全或 者重大利益需要保密的,按照国家有关规 定办理。	<b>第四条</b> 申请专利的发明创造涉及国家安全或 者重大利益需要保密的,按照国家有关规 定办理。	
Article 4  Any patent application of which the underlying invention/creation involves national security or other major State interests that needs to be kept confidential shall be handled in accordance with the relevant regulations of the State.	Article 4  Any patent application of which the underlying invention/creation involves national security or other major State interests that needs to be kept confidential shall be handled in accordance with the relevant regulations of the State.	
第五条 对违反法律、社会公德或者妨害公共 利益的发明创造,不授予专利权。 对违反法律、行政法规的规定获取或 者利用遗传资源,并依赖该遗传资源完成 的发明创造,不授予专利权。	第五条 对违反法律、社会公德或者妨害公共利益的发明创造,不授予专利权。 对违反法律、行政法规的规定获取或者利用遗传资源,并依赖该遗传资源完成的发明创造,不授予专利权。	
Article 5  Patent right shall not be granted for any invention/creation that violates the law or social ethics, or harm public interests.	Article 5 Patent right shall not be granted for any invention/creation that violates the law or social ethics, or harm public interests.	(Please see related comments to Article 20, below) AIPLA respectfully suggests moving the good faith requirement when applying for a patent from Article 20 to Article 5, which is a

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<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
Patent right shall not be granted for any invention/creation made by relying on genetic resources obtained or used in violation of any law and administrative regulation.	Patent right shall not be granted for any invention/creation made by relying on genetic resources obtained or used in violation of any law and administrative regulation.	more prominent place for this important requirement.  AIPLA also suggests that paragraph 2 of Article 5 be clarified to limit restrictions against genetic resources to "genetic material," the subject matter of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity. In this way, it is unlikely that Article 5 will be interpreted to extend to additional subject matter, such as digital genetic sequence information, or to third parties that might rely on such information in research.
第六条 执行本单位的任务或者主要是利用本单位的物质技术条件所完成的发明创造为职务发明创造。职务发明创造申请专利的权利属于该单位;申请被批准后,该单位为专利权人。	第六条 执行本单位的任务或者主要是利用本单位的物质技术条件所完成的发明创造为职务发明创造。职务发明创造申请专利的权利属于该单位;申请被批准后,该单位为专利权人。 <mark>该单位对职务发明创造申请</mark>	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment)	
	(2019.01)	
非职务发明创造,申请专利的权利属	专利的权利和专利权可以依法处置,实行	
于发明人或者设计人;申请被批准后,该	产权激励,采取股权、期权、分红等方	
发明人或者设计人为专利权人。	式,使发明人或者设计人合理分享创新收	
利用本单位的物质技术条件所完成的	益,促进相关发明创造的实施和运用。	
发明创造,单位与发明人或者设计人订有	非职务发明创造,申请专利的权利属	
合同,对申请专利的权利和专利权的归属	于发明人或者设计人;申请被批准后,该	
作出约定的,从其约定。	发明人或者设计人为专利权人。	
	利用本单位的物质技术条件所完成的	
	发明创造,单位与发明人或者设计人订有	
	合同,对申请专利的权利和专利权的归属	AIPLA commends the provision for
	作出约定的,从其约定。	providing clarity and more incentives for
	Article 6	employees to contribute to invention-
	Any invention/creation made in the course	creation. That is, AIPLA's understanding is
Article 6	of performing the duties of an employee for	that the additional provision to Article 6
Any invention/creation made in the course	the employer entity, or primarily by using the	would allow employers to dispose of
of performing the duties of an employee for the	material and technical resources of an	invention-creation (e.g., file or not file a
employer entity, or primarily by using the	employer entity, shall be deemed a service	patent application on an invention-creation,
material and technical resources of an	invention/creation. The right to apply for a	sell or transfer the rights to the invention-
employer entity, shall be deemed a service	patent on any service invention/creation shall	creation, however it so desires) and provide
invention/creation.	belong to the employer entity; and the	options other than money to compensate the
The right to apply for a patent on any	employer entity shall be the patentee after the	employee. In other words, the newly added
service invention/creation shall belong to the	application is granted patent right. The	provision encourages non-monetary
employer entity; and the employer entity shall	employer entity is entitled to dispose the	inventor remuneration schemes and such

现行专利法 Current Patent Law	专利法(修正案草案) Chinese Patent Law (Draft Amendment) (2019.01)	AIPLA Comments
be the patentee after the application is granted patent right.  The right to apply for a patent on any non-service invention/creation shall belong to the inventor or designer; and the inventor or designer shall be the patentee after the application is granted patent right.  For invention/creations made by using the material and technical resources of an employer entity, if the employer entity has contracted with the inventor or designer providing the ownership of the right to apply for a patent or the ownership of the patent, such provision shall prevail.	right of patent application and the patent right of a service invention in accordance with the law, and the property right incentive mechanism such as equity, option and dividend incentive policies may be implemented to allow the inventor or designer to reasonably share the proceeds of innovation and promote the implementation and application of the relevant invention.  The right to apply for a patent on any non- service invention/creation shall belong to the inventor or designer; and the inventor or designer shall be the patentee after the application is granted patent right.  For invention/creations made by using the material and technical resources of an employer entity, if the employer entity has contracted with the inventor or designer providing the ownership of the right to apply for a patent or the ownership of the patent, such provision shall prevail.	schemes may for example be tied to the profits and benefits derived from the employee's invention-creation. AIPLA supports such a change to the existing Patent law.  AIPLA notes that the monetary and non-monetary award for employee invention-creation is tied only to patent filing and practice. AIPLA is concerned that such provision may encourage unnecessary or inappropriate patent filings in situations in which patents may not be the optimal protection mechanism.  AIPLA further suggests that the law encourage written agreements between an entity and an employee (e.g., signed at the beginning of employment) to reduce or avoid disputes or possible litigation between the employee-inventor/designer and employer as to the ownership of the service invention-creation or appropriate compensation.

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Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
<b>第七条</b> 对发明人或者设计人的非职务发明创 造专利申请,任何单位或者个人不得压 制。	<b>第七条</b> 对发明人或者设计人的非职务发明创 造专利申请,任何单位或者个人不得压 制。	This limitation appears appropriate, provided it is limited to non-service inventions.
Article 7  No employer entity or individual shall suppress an inventor's or designer's filing for patent application on any non-service invention/creation.	Article 7  No employer entity or individual shall suppress an inventor's or designer's filing for patent application on any non-service invention/creation.	
第八条 两个以上单位或者个人合作完成的发明创造、一个单位或者个人接受其他单位或者个人委托所完成的发明创造,除另有协议的以外,申请专利的权利属于完成或者共同完成的单位或者个人;申请被批准	第八条 两个以上单位或者个人合作完成的发明创造、一个单位或者个人接受其他单位或者个人委托所完成的发明创造,除另有协议的以外,申请专利的权利属于完成或者共同完成的单位或者个人;申请被批准	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
后,申请的单位或者个人为专利权人。	后,申请的单位或者个人为专利权人。	
Article 8	Article 8	
an employer entity or individual under the commission of another employer entity or individual, unless otherwise agreed upon, the right to apply for a patent shall belong to the employer entity(ies) or individual(s) that has(ve) made or jointly made the invention/creation; and the applying entity(ies) or individual(s) shall be the patentee(s) after	With regard to an invention/creation jointly made by two or more employer entities or individuals, or an invention/creation made by an employer entity or individual under the commission of another employer entity or individual, unless otherwise agreed upon, the right to apply for a patent shall belong to the employer entity(ies) or individual(s) that has(ve) made or jointly made the invention/creation; and the applying entity(ies) or individual(s) shall be the patentee(s) after the application is granted patent right.	
第九条 同样的发明创造只能授予一项专利 权。但是,同一申请人同日对同样的发明 创造既申请实用新型专利又申请发明专 利,先获得的实用新型专利权尚未终止, 且申请人声明放弃该实用新型专利权的, 可以授予发明专利权。 两个以上的申请人分别就同样的发明	第九条 同样的发明创造只能授予一项专利 权。但是,同一申请人同日对同样的发明 创造既申请实用新型专利又申请发明专 利,先获得的实用新型专利权尚未终止, 且申请人声明放弃该实用新型专利权的, 可以授予发明专利权。 两个以上的申请人分别就同样的发明	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
创造申请专利的,专利权授予最先申请的 人。	创造申请专利的,专利权授予最先申请的 人。	
Article 9  Only one patent can be granted for the same invention/creation. However, where the same applicant applies for a utility model patent and an invention patent with regard to the same invention/creation on the same day, if the utility model patent granted earlier has not expired yet and the applicant declares to waive the right to the utility model patent, the invention patent may be granted.  If two or more applicants file for patent applications on the same invention/creation separately, the patent right shall be granted to the first filer.	Article 9  Only one patent can be granted for the same invention/creation. However, where the same applicant applies for a utility model patent and an invention patent with regard to the same invention/creation on the same day, if the utility model patent granted earlier has not expired yet and the applicant declares to waive the right to the utility model patent, the invention patent may be granted.  If two or more applicants file for patent applications on the same invention/creation separately, the patent right shall be granted to the first filer.	
第十条 专利申请权和专利权可以转让。 中国单位或者个人向外国人、外国企 业或者外国其他组织转让专利申请权或者 专利权的,应当依照有关法律、行政法规 的规定办理手续。	第十条 专利申请权和专利权可以转让。 中国单位或者个人向外国人、外国企 业或者外国其他组织转让专利申请权或者 专利权的,应当依照有关法律、行政法规 的规定办理手续。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
转让专利申请权或者专利权的,当事 人应当订立书面合同,并向国务院专利行 政部门登记,由国务院专利行政部门予以 公告。专利申请权或者专利权的转让自登 记之日起生效。	转让专利申请权或者专利权的,当事 人应当订立书面合同,并向国务院专利行 政部门登记,由国务院专利行政部门予以 公告。专利申请权或者专利权的转让自登 记之日起生效。	
Article 10	Article 10	
The right to apply for a patent and patent right may be transferred.	The right to apply for a patent and patent right may be transferred.	
Any Chinese employer entity or	Any Chinese employer entity or	
individual transferring any right to apply for a patent or patent right to a foreign person, enterprise, or other organization shall follow	individual transferring any right to apply for a patent or patent right to a foreign person, enterprise, or other organization shall follow	
the procedures set forth in the relevant laws	the procedures set forth in the relevant laws	
and administrative regulations.	and administrative regulations.	
Any parties transferring any right to apply	Any parties transferring any right to apply	
for a patent or patent right shall contract in	for a patent or patent right shall contract in	
writing and record the contract at the patent	writing and record the contract at the patent	
administration department under the State	administration department under the State	
Council. A public notice of the contract will	Council. A public notice of the contract will be	
be made by the patent administration	made by the patent administration department	
department under the State Council. The	under the State Council. The transfer of right	
transfer of right to apply for a patent or of	to apply for a patent or of patent right shall	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
patent right shall become effective as of the recordation date.	become effective as of the recordation date.	
第十一条 发明和实用新型专利权被授予后,除本法另有规定的以外,任何单位或者个人未经专利权人许可,都不得实施其专利,即不得为生产经营目的制造、使用、许诺销售、销售、进口其专利产品,或者使用其专利方法以及使用、许诺销售、销售、进口依照该专利方法直接获得的产品。 外观设计专利权被授予后,任何单位或者个人未经专利权人许可,都不得实施其专利,即不得为生产经营目的制造、许诺销售、进口其外观设计专利产品。	第十一条 发明和实用新型专利权被授予后,除本法另有规定的以外,任何单位或者个人未经专利权人许可,都不得实施其专利,即不得为生产经营目的制造、使用、许诺销售、销售、进口其专利产品,或者使用其专利方法以及使用、许诺销售、销售、进口依照该专利方法直接获得的产品。 外观设计专利权被授予后,任何单位或者个人未经专利权人许可,都不得实施其专利,即不得为生产经营目的制造、许诺销售、销售、进口其外观设计专利产品。	
Article 11	Article 11	
After the grant of an invention or a utility model patent right, unless otherwise provided for in this Law, without the permission of the patentee, no employer entity or individual shall	After the grant of an invention or a utility model patent right, unless otherwise provided for in this Law, without the permission of the patentee, no employer entity or individual shall	
exploit the patent, i.e., no employer entity or individual shall, for production or business	exploit the patent, i.e., no employer entity or individual shall, for production or business	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
purposes, manufacture, use, offer to sell, sell, or import the patented products, use the patented method, or use, offer to sell, sell, or import products obtained directly through the use of the patented method.  After a design patent right is granted, without the permission of the patentee, no employer entity or individual shall exploit the	purposes, manufacture, use, offer to sell, sell, or import the patented products, use the patented method, or use, offer to sell, sell, or import products obtained directly through the use of the patented method.  After a design patent right is granted, without the permission of the patentee, no employer entity or individual shall exploit the	
patent, i.e., no employer ntity or individual shall, for production or business purposes, manufacture, use, offer to sell, sell, or import the design patented products.	patent, i.e., no employer entity or individual shall, for production or business purposes, manufacture, use, offer to sell, sell, or import the design patented products.	
第十二条 任何单位或者个人实施他人专利的, 应当与专利权人订立实施许可合同,向专 利权人支付专利使用费。被许可人无权允 许合同规定以外的任何单位或者个人实施 该专利。	第十二条 任何单位或者个人实施他人专利的, 应当与专利权人订立实施许可合同,向专 利权人支付专利使用费。被许可人无权允 许合同规定以外的任何单位或者个人实施 该专利。	
Article 12  Any employer entity or individual	Article 12  Any employer entity or individual	
exploiting other's patent shall enter into a patent license contract for exploitation with the	exploiting other's patent shall enter into a patent license contract for exploitation with the	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
patentee and pay patent royalties to the patentee. The licensee shall not grant the exploitation right of such patent to any other employer entity or individual not specified in the contract.	patentee and pay patent royalties to the patentee. The licensee shall not grant the exploitation right of such patent to any other employer entity or individual not specified in the contract.	
<b>第十三条</b> 发明专利申请公布后,申请人可以要 求实施其发明的单位或者个人支付适当的 费用。	<b>第十三条</b> 发明专利申请公布后,申请人可以要 求实施其发明的单位或者个人支付适当的 费用。	
Article 13  After the application for an invention patent is published, the applicant may require the employer entity or individual that exploits the said invention to pay an appropriate amount of fees.	Article 13  After the application for an invention patent is published, the applicant may require the employer entity or individual that exploits the said invention to pay an appropriate amount of fees.	
第十四条 国有企业事业单位的发明专利,对国 家利益或者公共利益具有重大意义的,国 务院有关主管部门和省、自治区、直辖市 人民政府报经国务院批准,可以决定在批 准的范围内推广应用,允许指定的单位实	第十四条 国有企业事业单位的发明专利,对国 家利益或者公共利益具有重大意义的,国 务院有关主管部门和省、自治区、直辖市 人民政府报经国务院批准,可以决定在批 准的范围内推广应用,允许指定的单位实	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
施,由实施单位按照国家规定向专利权人 支付使用费。	施,由实施单位按照国家规定向专利权人 支付使用费。	
Article 14	Article 14	
If an invention patent of a State-owned enterprise or public institution is of great significance to national or public interests, upon the approval by the State Council, the relevant administering department under the State Council or a government of province, autonomous region, or municipality directly under the Central Government may decide to promote the application of the patent within an approved scope and allow designated employer entity to exploit the patent. The exploiting employer entity shall pay royalties to the patentee in accordance with the regulations of the State.	If an invention patent of a State-owned enterprise or public institution is of great significance to national or public interests, upon the approval by the State Council, the relevant administering department under the State Council or a government of province, autonomous region, or municipality directly under the Central Government may decide to promote the application of the patent within an approved scope and allow designated employer entity to exploit the patent. The exploiting employer entity shall pay royalties to the patentee in accordance with the regulations of the State.	
第十五条 专利申请权或者专利权的共有人对权 利的行使有约定的,从其约定。没有约定 的,共有人可以单独实施或者以普通许可 方式许可他人实施该专利;许可他人实施	第十五条 专利申请权或者专利权的共有人对权 利的行使有约定的,从其约定。没有约定 的,共有人可以单独实施或者以普通许可 方式许可他人实施该专利;许可他人实施	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
该专利的,收取的使用费应当在共有人之间分配。 除前款规定的情形外,行使共有的专利申请权或者专利权应当取得全体共有人的同意。	该专利的,收取的使用费应当在共有人之间分配。 除前款规定的情形外,行使共有的专利申请权或者专利权应当取得全体共有人的同意。	
Article 15	Article 15	
If there are agreements regarding the	If there are agreements regarding the	
exercise of rights by the co-owners of the right	exercise of rights by the co-owners of the right	
to apply for the patent or of the patent right, the	to apply for the patent or of the patent right, the	
agreements shall prevail. In the absence of	agreements shall prevail. In the absence of	
such agreements, the co-owners may separately	such agreements, the co-owners may separately	
exploit the patent or may, in an ordinary	exploit the patent or may, in an ordinary	
manner, permit others to exploit the said	manner, permit others to exploit the said	
patent. Where others are permitted to exploit	patent. Where others are permitted to exploit	
the patent, the royalties received shall be	the patent, the royalties received shall be	
distributed among the co-owners. Except under	distributed among the co-owners. Except under	
the circumstances specified in the preceding	the circumstances specified in the preceding	
paragraph, exercise of the co-owned right to	paragraph, exercise of the co-owned right to	
apply for patent or of the co-owned patent right	apply for patent or of the co-owned patent right	
shall be subject to the consent of all the co-	shall be subject to the consent of all the co-	
owners.	owners.	
第十六条	第十六条	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
被授予专利权的单位应当对职务发明 创造的发明人或者设计人给予奖励;发明 创造专利实施后,根据其推广应用的范围 和取得的经济效益,对发明人或者设计人 给予合理的报酬。	被授予专利权的单位应当对职务发明 创造的发明人或者设计人给予奖励;发明 创造专利实施后,根据其推广应用的范围 和取得的经济效益,对发明人或者设计人 给予合理的报酬。	
Article 16  The employer entity that is granted the patent right shall reward the inventor or designer of service invention/creation. After the patent on the invention/creation is exploited, such employer entity shall give the inventor or designer a reasonable amount of remuneration according to the scope of application and the economic benefits obtained.	Article 16  The employer entity that is granted the patent right shall reward the inventor or designer of service invention/creation. After the patent on the invention/creation is exploited, such employer entity shall give the inventor or designer a reasonable amount of remuneration according to the scope of application and the economic benefits obtained.	
第十七条 发明人或者设计人有权在专利文件中 写明自己是发明人或者设计人。 专利权人有权在其专利产品或者该产	<b>第十七条</b> 发明人或者设计人有权在专利文件中 写明自己是发明人或者设计人。 专利权人有权在其专利产品或者该产品	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment)	
	(2019.01)	
品的包装上标明专利标识。	的包装上标明专利标识。	
Article 17		
An inventor or designer shall have the	Article 17	
right to state in the patent documents that he or	An inventor or designer shall have the	
she is the inventor or designer.	right to state in the patent documents that he or	
The patentee shall have the right to have	she is the inventor or designer.	
patent mark displayed on its patented product	The patentee shall have the right to have	
or the package of such product.	patent mark displayed on its patented product	
	or the package of such product.	
第十八条	第十八条	
在中国没有经常居所或者营业所的外	在中国没有经常居所或者营业所的外	
国人、外国企业或者外国其他组织在中国	国人、外国企业或者外国其他组织在中国	
申请专利的,依照其所属国同中国签订的	申请专利的,依照其所属国同中国签订的	
协议或者共同参加的国际条约,或者依照	协议或者共同参加的国际条约,或者依照	
互惠原则,根据本法办理。	互惠原则,根据本法办理。	
Article 18	Article 18	
For a foreign person, enterprise, or other	For a foreign person, enterprise, or other	
organization without a regular residence or	organization without a regular residence or	
business site in China who wants to apply for a	business site in China who wants to apply for a	
patent in China, the application shall be	patent in China, the application shall be	
handled in accordance with this Law, and in	handled in accordance with this Law, and in	
accordance with the agreements between the	accordance with the agreements between the	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
resident country and China or with the international treaties to which both countries have signed or on the principle of reciprocity.	resident country and China or with the international treaties to which both countries have signed or on the principle of reciprocity.	
第十九条 在中国没有经常居所或者营业所的 外国人、外国企业或者外国其他组织在 中国申请专利和办理其他专利事务的, 应当委托依法设立的专利代理机构办 理。 中国单位或者个人在国内申请专利 和办理其他专利事务的,可以委托依法 设立的专利代理机构办理。 专利代理机构应当遵守法律、行政法 规,按照被代理人的委托办理专利申请或 者其他专利事务;对被代理人发明创造的 内容,除专利申请已经公布或者公告的以 外,负有保密责任。专利代理机构的具体 管理办法由国务院规定。	第十九条 在中国没有经常居所或者营业所的 外国人、外国企业或者外国其他组织在 中国申请专利和办理其他专利事务的, 应当委托依法设立的专利代理机构办 理。 中国单位或者个人在国内申请专利 和办理其他专利事务的,可以委托依法 设立的专利代理机构办理。 专利代理机构应当遵守法律、行政法 规,按照被代理人的委托办理专利申请或 者其他专利事务;对被代理人发明创造的 内容,除专利申请已经公布或者公告的以 外,负有保密责任。专利代理机构的具体 管理办法由国务院规定。	
Article 19	Article 19	
A foreign person, enterprise, or other organization without a regular residence or	A foreign person, enterprise, or other organization without a regular residence or	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
business site in China who intends to apply for a patent or handle other patent-related matters in China shall commission a legally established patent agency to handle such matters.  A Chinese employer entity or individual intending to apply for a patent or handle other patent-related matters in China may commission a legally established patent agency to handle such matters.	business site in China who intends to apply for a patent or handle other patent-related matters in China shall commission a legally established patent agency to handle such matters.  A Chinese employer entity or individual intending to apply for a patent or handle other patent-related matters in China may commission a legally established patent agency to handle such matters.	
Patent agencies shall abide by laws and administrative regulations and handle patent applications or other patent-related matters as commissioned by their clients. Patent agencies have a duty of keeping contents of the invention/creation of their clients confidential, with the exception of the contents already published or publicly-notified. The specific measures for administration of patent agencies shall be formulated by the State Council.	Patent agencies shall abide by laws and administrative regulations and handle patent applications or other patent-related matters as commissioned by their clients. Patent agencies have a duty of keeping contents of the invention/creation of their clients confidential, with the exception of the contents already published or publicly-notified. The specific measures for administration of patent agencies shall be formulated by the State Council.	
	第二十条 (新增) 申请专利和行使专利权应当遵循诚实 信用原则,不得滥用专利权损害公共利益	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	或者排除、限制竞争。 Article 20 (New)	
	The application of patent and the exercise of patent right shall abide by the principle of good faith, and shall not misuse patent right to harm the public interest or exclude or restrict competitions.	(Please see related comment to Article 5, above)  AIPLA recommends that the sentence "[t]he application for patent right shall abide by the principle of good faith" be retained, and recommends moving it to the beginning of Article 5 where it better fits the context.
		AIPLA respectfully recommends deleting the rest of Article 20, i.e., the text dealing with "prohibition of misuse of patent rights" for the following reasons:  First, the "exercise of patent rights" is outside the defined mandate of China's patent administration. (See Article 2 of Patent Law);
		Second, this text overlaps, and possibly conflicts with, other relevant existing

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
		legislation including:  a. Article 55 of Antimonopoly Law.  b. Article 329 of the Contract Law  c. An SPC Judicial Interpretation that also provides that the illegal monopoly technology transfer contract is invalid.  d. Article 53(2) of the Patent Law draft
		provides compulsory licensing as a remedy to eliminate or reduce the negative impact on competition when patentee's exercise of its a patent right has been deemed as monopoly in accordance with the laws.
		Third, as a result, to add a very general but also vague article in the Patent Law for the prohibition of "misuse of patent rights" would bring no added value and would create confusion and potential conflict with the standards found in current specific legislation and interpretation, including the potential for a second, inconsistent determination of validity in addition and possibly in conflict

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
		with an invalidation trial before the Patent Review Board (PRB) or its appeal since a misuse claim may have their outcome determined by the resolution of the same issues involved in an invalidation trial.  Further, if prohibition of "misuse of patent rights" were broadly interpreted as extending beyond conduct in the prosecution, e.g. into the area of patent licensing, it could also discourage innovation and interfere with legitimate enforcement activities.  The further provision relating to "public interests," is very broad and its scope is vague and uncertain. Construed broadly, it would encompass a wide variety of public interests that would be inconsistent with international norms of intellectual property protection employed by China's major trading partners.

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第二十条 任何单位或者个人将在中国完成的发明或者实用新型向外国申请专利的,应当事先报经国务院专利行政部门进行保密审查。保密审查的程序、期限等按照国务院的规定执行。 中国单位或者个人可以根据中华人民共和国参加的有关国际条约提出专利国际申请的,应当遵守前款规定。 国务院专利行政部门依照中华人民共和国参加的有关国际条约、本法和国务院有关规定处理专利国际申请。 对违反本条第一款规定向外国申请专利的发明或者实用新型,在中国申请专利的,不授予专利权。	第二十一条 任何单位或者个人将在中国完成的发明或者实用新型向外国申请专利的,应当事先报经国务院专利行政部门进行保密审查。保密审查的程序、期限等按照国务院的规定执行。 中国单位或者个人可以根据中华人民共和国参加的有关国际条约提出专利国际申请。申请人提出专利国际申请的,应当遵守前款规定。 国务院专利行政部门依照中华人民共和国参加的有关国际条约、本法和国务院有关规定处理专利国际申请。 对违反本条第一款规定向外国申请专利的发明或者实用新型,在中国申请专利的,不授予专利权。	
Article 20	Article 21	
Any employer entity or individual that	Any employer entity or individual that	
intends to apply for patent in a foreign country	intends to apply for patent in a foreign country	
for an invention or utility model made in China	for an invention or utility model made in China	
shall submit the matter to the patent	shall submit the matter to the patent	
administration department under the State	administration department under the State	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Council for confidentiality examination.	Council for confidentiality examination.	
Such examination shall be conducted in	Such examination shall be conducted in	
conformity with the procedures, time limit, etc. prescribed by the State Council.	conformity with the procedures, time limit, etc. prescribed by the State Council.	
A Chinese employer entity or individual	A Chinese employer entity or individual	
may file for an international patent applications	may file for an international patent applications	
in accordance with the relevant international	in accordance with the relevant international	
treaties to which China has signed. The	treaties to which China has signed. The	
applicant of such international patent	applicant of such international patent	
application shall comply with the provisions of the preceding paragraph.	application shall comply with the provisions of the preceding paragraph.	
The patent administration department	The patent administration department	
under the State Council shall handle	under the State Council shall handle	
international patent applications in accordance	international patent applications in accordance	
with the relevant international treaties to which	with the relevant international treaties to which	
China has signed and the relevant provisions of	China has signed and the relevant provisions of	
this Law and regulations of the State Council.	this Law and regulations of the State Council.	
No patent right shall be granted to an	No patent right shall be granted to an	
application filed in China if an invention or	application filed in China if an invention or	
utility model patent application has been filed	utility model patent application has been filed	
in a foreign country in violation of the	in a foreign country in violation of the	
provisions of the first paragraph of this Article.	provisions of the first paragraph of this Article.	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
第二十一条 国务院专利行政部门及其专利复审委员会应当按照客观、公正、准确、及时的要求,依法处理有关专利的申请和请求。 国务院专利行政部门应当完整、准确、及时发布专利信息,定期出版专利公报。 在专利申请公布或者公告前,国务院专利行政部门的工作人员及有关人员对其内容负有保密责任。	第二十二条 国务院专利行政部门及其专利复审委员会应当按照客观、公正、准确、及时的要求,依法处理有关专利的申请和请求。 国务院专利行政部门应当加强专利信息公共服务体系建设,定期出版专利公报,完整、准确、及时发布专利信息,提供专利信息基础数据,促进专利信息传播与利用。 在专利申请公布或者公告前,国务院专利行政部门的工作人员及有关人员对其内容负有保密责任。	
	Article 22	
Article 21  The patent administration department under the State Council and its Patent Review Board shall, according to the requirements of objectivity, fairness, accuracy, and timeliness, handle patent applications and requests in accordance with law.  The patent administration department under the State Council shall release patent	The patent administration department under the State Council and its Patent Review Board shall, according to the requirements of objectivity, fairness, accuracy, and timeliness, handle patent applications and requests in accordance with law.  The patent administration department under the State Council shall strengthen the establishment of the public service system of	AIPLA commends these steps to bring greater transparency to the patent system while protecting confidentiality before publication, bringing China's system into closer alignment with international norms of patent protection.

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
information in a complete, accurate, and timely manner, and publish patent gazettes on a regular basis.  Before a patent application is published or publically notified, the staff members of the patent administration department under the State Council and the persons concerned shall have the duty to keep such the contents of the application confidential.	patent information, publish patent gazettes on a regular basis, release patent information in a complete, accurate, and timely manner, and provide basic data of patent information, and promote the dissemination and utilization patent information publish patent gazettes on a regular basis.  Before a patent application is published or publically notified, the staff members of the patent administration department under the State Council and the persons concerned shall have the duty to keep such the contents of the application confidential.	
第二章 授予专利权的条件 Chapter 2 Conditions for Granting Patent Rights	第二章 授予专利权的条件 Chapter 2 Conditions for Granting Patent Rights	
第二十二条 授予专利权的发明和实用新型,应当 具备新颖性、创造性和实用性。 新颖性,是指该发明或者实用新型不 属于现有技术;也没有任何单位或者个人	第二十三条 授予专利权的发明和实用新型,应当 具备新颖性、创造性和实用性。 新颖性,是指该发明或者实用新型不 属于现有技术,也没有任何单位或者个人	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
就同样的发明或者实用新型在申请日以前 向国务院专利行政部门提出过申请,并记 载在申请日以后公布的专利申请文件或者 公告的专利文件中。 创造性,是指与现有技术相比,该发 明具有突出的实质性特点和显著的进步, 该实用新型具有实质性特点和进步。 实用性,是指该发明或者实用新型能 够制造或者使用,并且能够产生积极效 果。 本法所称现有技术,是指申请日以前 在国内外为公众所知的技术。	就同样的发明或者实用新型在申请日以前 向国务院专利行政部门提出过申请,并记 载在申请日以后公布的专利申请文件或者 公告的专利文件中。 创造性,是指与现有技术相比,该发 明具有突出的实质性特点和显著的进步, 该实用新型具有实质性特点和进步。 实用性,是指该发明或者实用新型能 够制造或者使用,并且能够产生积极效 果。 本法所称现有技术,是指申请日以前 在国内外为公众所知的技术。	
Article 22	Article 23	
Inventions and utility models with patent rights granted shall have novelty, inventiveness	Inventions and utility models with patent rights granted shall have novelty, inventiveness	
and utility.	and utility.	
Novelty means that the invention or utility model is not prior art; no other patent application has been filed by any employer entity or individual for any identical invention or utility model with the patent administration department under the State Council before the	Novelty means that the invention or utility model is not prior art; no other patent application has been filed by any employer entity or individual for any identical invention or utility model with the patent administration department under the State Council before the	
application date and documented in a patent	application date and documented in a patent	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
application document published or the patent documents publically notified after the application date.  Inventiveness means that, compared with the existing technologies, the invention possesses prominent substantive features and indicates remarkable advancements, and the utility model possesses substantive features and indicates advancements.  Utility means that the said invention or utility model can be used for production or be utilized, and may produce positive results.  For the purposes of this Law, prior art means the technologies known to the public domestically and abroad before the application date.	application document published or the patent documents publicly notified after the application date.  Inventiveness means that, compared with the existing technologies, the invention possesses prominent substantive features and indicates remarkable advancements, and the utility model possesses substantive features and indicates advancements.  Utility means that the said invention or utility model can be used for production or be utilized, and may produce positive results.  For the purposes of this Law, prior art means the technologies known to the public domestically and abroad before the application date.	
第二十三条 授予专利权的外观设计,应当不属于 现有设计;也没有任何单位或者个人就同 样的外观设计在申请日以前向国务院专利	第二十四条 授予专利权的外观设计,应当不属于 现有设计;也没有任何单位或者个人就同 样的外观设计在申请日以前向国务院专利	

现行专利法	专利法(修正案草案)	AIPLA Comments
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行政部门提出过申请,并记载在申请日以后公告的专利文件中。 授予专利权的外观设计与现有设计或者现有设计特征的组合相比,应当具有明显区别。 授予专利权的外观设计不得与他人在申请日以前已经取得的合法权利相冲突。 本法所称现有设计,是指申请日以前在国内外为公众所知的设计。	行政部门提出过申请,并记载在申请日以 后公告的专利文件中。 授予专利权的外观设计与现有设计或 者现有设计特征的组合相比,应当具有明 显区别。 授予专利权的外观设计不得与他人在 申请日以前已经取得的合法权利相冲突。 本法所称现有设计,是指申请日以前 在国内外为公众所知的设计。	
Article 23	Article 24	
A design for which patent right is granted	A design for which patent right is granted	
shall not be a prior design, and no application	shall not be a prior design, and no application	
is filed by any employer entity or individual for	is filed by any employer entity or individual for	
any identical design with the patent	any identical design with the patent	
administration department under the State	administration department under the State	
Council before the application date and	Council before the application date and	
documented in a patent application document	documented in a patent application document	
published or the patent documents publically	published or the patent documents publically	
notified after the application date.	notified after the application date.	
Designs for which patent right is to be	Designs for which patent right is to be	
granted shall be distinctly different from prior	granted shall be distinctly different from prior	
designs or the combinations of the features of	designs or the combinations of the features of	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
prior designs.	prior designs.	
Designs for which patent right is granted shall not in conflict with the legal rights acquired by others prior to the application date.  For the purposes of this Law, a prior design means a design that is known to the public domestically and abroad before the application date.	Designs for which patent right is granted shall not in conflict with the legal rights acquired by others prior to the application date.  For the purposes of this Law, a prior design means a design that is known to the public domestically and abroad before the application date.	
第二十四条 申请专利的发明创造在申请日以前六 个月内,有下列情形之一的,不丧失新颖 性: (一) 在中国政府主办或者承认的 国际展览会上首次展出的; (二) 在规定的学术会议或者技术 会议上首次发表的; (三) 他人未经申请人同意而泄露 其内容的。	第二十五条 申请专利的发明创造在申请日以前六 个月内,有下列情形之一的,不丧失新颖 性: (一) 在中国政府主办或者承认的 国际展览会上首次展出的; (二) 在规定的学术会议或者技术 会议上首次发表的; (三) 他人未经申请人同意而泄露 其内容的。	
Article 24	Article 25	
Within six months prior to the date of application, an invention/creation for which a	Within six months prior to the date of application, an invention/creation for which a	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
patent application is filed does not lose its novelty under any of the following circumstances:	patent application is filed does not lose its novelty under any of the following circumstances:	
(1) It is exhibited for the first time at an international exhibition sponsored or recognized by the Chinese Government;	(1) It is exhibited for the first time at an international exhibition sponsored or recognized by the Chinese Government;	
(2) It is published for the first time at a specified academic or technological conference; and	(2) It is published for the first time at a specified academic or technological conference; and	
(3) Its contents are divulged by others without the consent of the applicant.	(3) Its contents are divulged by others without the consent of the applicant.	
第二十五条	第二十六条	
对下列各项,不授予专利权: (一)科学发现; (二)智力活动的规则和方法; (三)疾病的诊断和治疗方法; (四)动物和植物品种; (五)用原子核变换方法获得的物质;	对下列各项,不授予专利权: (一)科学发现; (二)智力活动的规则和方法; (三)疾病的诊断和治疗方法; (四)动物和植物品种; (五) <b>原子核变换方法以及</b> 用原子核变换方法获得的物质;	
	y (Draft Amendment) (2019 01) is provided for conve	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
(六)对平面印刷品的图案、色彩或者二者的结合作出的主要起标识作用的设计。 对前款第(四)项所列产品的生产方法,可以依照本法规定授予专利权。	(六)对平面印刷品的图案、色彩或者二者的结合作出的主要起标识作用的设计。 对前款第(四)项所列产品的生产方法,可以依照本法规定授予专利权。	
Article 25 Patent rights shall not be granted for any of the following subject matters:  (1) scientific discoveries;  (2) rules and methods for intellectual activities;  (3)methods for the diagnosis or treatment of diseases;	Article 26 Patent rights shall not be granted for any of the following subject matters:  (1) scientific discoveries;  (2) rules and methods for intellectual activities;  (3) methods for the diagnosis or treatment of diseases;	AIPLA notes the addition of "nuclear transformation methods" to the list of patent-ineligible subject matter. AIPLA invites further clarification in law or in examination guideline on whether innovative apparatus or device for inducing or controlling nuclear transformation processes in the fields of medical treatment, energy production, and other commercial utilization are patentable.
(4) animal or plant varieties;	(4) animal or plant varieties;	AIPLA had welcomed the term in the prior
<ul><li>(5) substances obtained by means of nuclear transformation;</li><li>(6) Designs that are mainly used for</li></ul>	(5) Methods of nuclear transformation and substances obtained by means of nuclear transformation;	draft, "(3) methods for the diagnosis or for the treatment of diseases, except for those concerning farmed animals;" as a favorable
marking the pattern, color or the combination of the two of prints.	(6) Designs that are mainly used for marking the pattern, color or the combination	development bring Chinese law more fully consistent with international standards. It would support innovation in the growing

现行专利法	专利法(修正案草案)	AIPLA Comments
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Patent right may, in accordance with the provisions of this Law, be granted for the production methods of the products specified in Subparagraph (4) of the preceding paragraph.	of the two of prints.  Patent right may, in accordance with the provisions of this Law, be granted for the production methods of the products specified in Subparagraph (4) of the preceding paragraph.	veterinarian diagnostics industry essential to continued innovation in the food industry generally, which would ultimately enhance food production. Indeed, other countries further allow more liberally for patents that protect medical diagnostics supporting human medicine as well. AIPLA supports the reintroduction of this term and suggests that perhaps concerns with such terms in relation to both farmed animals and humans could be mitigated by excluding infringement liability by the attending veterinarian or physician as opposed to commercial labs running such diagnostic assays or companies producing products purchased by such labs, who would be the commercial competitors of the patentees.
专利的申请	专利的申请	
Chapter 3 Patent Application	Chapter 3 Patent Application	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第二十六条 申请发明或者实用新型专利的,应当 提交请求书、说明书及其摘要和权利要求 书等文件。 请求书应当写明发明或者实用新型的 名称,发明人的姓名,申请人姓名或者名 称、地址,以及其他事项。 说明书应当对发明或者实用新型作出 清楚、完整的说明,以所属技术领域的技 术人员能够实现为准;必要的时候,应当 有附图。摘要应当简要说明发明或者实用 新型的技术要点。 权利要求书应当以说明书为依据,清 楚、简要地限定要求专利保护的范围。 依赖遗传资源完成的发明创造,申请 人应当在专利申请文件中说明该遗传资源 的直接来源和原始来源;申请人无法说明 原始来源的,应当陈述理由。	第二十七条 申请发明或者实用新型专利的,应当 提交请求书、说明书及其摘要和权利要求 书等文件。 请求书应当写明发明或者实用新型的 名称,发明人的姓名,申请人姓名或者名 称、地址,以及其他事项。 说明书应当对发明或者实用新型作出 清楚、完整的说明,以所属技术领域的技术人员能够实现为准;必要的时候,应当 有附图。摘要应当简要说明发明或者实用 新型的技术要点。 权利要求书应当以说明书为依据, 楚、简要地限定要求专利保护的范围。 依赖遗传资源完成的发明创造,申请 人应当在专利申请文件中说明该遗传资源 的直接来源和原始来源;申请人无法说明 原始来源的,应当陈述理由。	
Article 26	Article 27	
To apply for an invention or utility model patent, one shall submit relevant documents,	To apply for an invention or utility model patent, one shall submit relevant documents,	
such as a written request, a written description	such as a written request, a written description	
and its abstract, and a list of claims.	and its abstract, and a list of claims.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
The written request shall specify the name	The written request shall specify the name	
of the invention or utility model, the name of	of the invention or utility model, the name of	
the inventor or designer, the name or title and	the inventor or designer, the name or title and	
the address of the applicant and other related	the address of the applicant and other related	
matters.	matters.	
The written description shall contain a	The written description shall contain a	
clear and comprehensive description of the	clear and comprehensive description of the	
invention or utility model so that a skilled	invention or utility model so that a skilled	
person in the field of the relevant technology	person in the field of the relevant technology	
can carry it out; when necessary, drawings	can carry it out; when necessary, drawings	
shall be attached to it. The abstract shall	shall be attached to it. The abstract shall	
contain a brief introduction to the main	contain a brief introduction to the main	
technical points of the invention or utility	technical points of the invention or utility	
model.	model.	
The list of claims shall, based on the	The list of claims shall, based on the	
written description, contains a clear and	written description, contains a clear and	
concise definition of the proposed scope of	concise definition of the proposed scope of	
patent protection.	patent protection.	
With regard to an invention/creation	With regard to an invention/creation	
made by relying on genetic resources, the	made by relying on genetic resources, the	
applicant shall, in the patent application	applicant shall, in the patent application	
documents, indicate the direct and original	documents, indicate the direct and original	

现行专利法	专利法(修正案草案)	AIPLA Comments
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source of the genetic resources. The applicant shall state the reason if the original source cannot be indicated.	source of the genetic resources. The applicant shall state the reason if the original source cannot be indicated.	
第二十七条 申请外观设计专利的,应当提交请求 书、该外观设计的图片或者照片以及对该 外观设计的简要说明等文件。 申请人提交的有关图片或者照片应当 清楚地显示要求专利保护的产品的外观设 计。	第二十八条 申请外观设计专利的,应当提交请求 书、该外观设计的图片或者照片以及对该 外观设计的简要说明等文件。 申请人提交的有关图片或者照片应当 清楚地显示要求专利保护的产品的外观设 计。	
Article 27	Article 28	
To apply for a design patent, one shall submit a written request, drawings or pictures of the design, a brief description of the design, and other relevant documents.  The relevant drawings or pictures	To apply for a design patent, one shall submit a written request, drawings or pictures of the design, a brief description of the design, and other relevant documents.  The relevant drawings or pictures	
submitted by the applicant shall clearly show the design of the products for which patent protection is requested.	submitted by the applicant shall clearly show the design of the products for which patent protection is requested.	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
第二十八条 国务院专利行政部门收到专利申请文 件之日为申请日。如果申请文件是邮寄 的,以寄出的邮戳日为申请日。	第二十九条 国务院专利行政部门收到专利申请文 件之日为申请日。如果申请文件是邮寄 的,以寄出的邮戳日为申请日。	
Article 28  The date when the patent administration department under the State Council receives the patent application documents is the application date. If the application documents are delivered by post, the date of the postmark is the application date.	Article 29  The date when the patent administration department under the State Council receives the patent application documents is the application date. If the application documents are delivered by post, the date of the postmark is the application date.	

现行专利法 Current Patent Law	专利法(修正案草案) Chinese Patent Law (Draft Amendment)	AIPLA Comments
	(2019.01)	
第二十九条 申请人自发明或者实用新型在外国第 一次提出专利申请之日起十二个月内,或 者自外观设计在外国第一次提出专利申请 之日起六个月内,又在中国就相同主题提 出专利申请的,依照该外国同中国签订的 协议或者共同参加的国际条约,或者依照 相互承认优先权的原则,可以享有优先 权。 申请人自发明或者实用新型在中国第 一次提出专利申请之日起十二个月内,又 向国务院专利行政部门就相同主题提出专 利申请的,可以享有优先权。	第三十条 申请人自发明或者实用新型在外国第 一次提出专利申请之日起十二个月内,或 者自外观设计在外国第一次提出专利申请 之日起六个月内,又在中国就相同主题提 出专利申请的,依照该外国同中国签订的 协议或者共同参加的国际条约,或者依照 相互承认优先权的原则,可以享有优先 权。 申请人自发明或者实用新型在中国第 一次提出专利申请之日起十二个月内, <mark>对者自外观设计在中国第一次提出专利申请</mark> 之日起六个月内, 又向国务院专利行政部 门就相同主题提出专利申请的,可以享有 优先权。	
Article 29	Article 30	
If an applicant files an application for a	If an applicant files an application for a	
patent in China within twelve months from the	patent in China within twelve months from the	
date the applicant first files an application for	date the applicant first files an application for	
an invention or utility model patent on the	an invention or utility model patent on the	
same subject matter in a foreign country, or	same subject matter in a foreign country, or	
within six months from the date the applicant	within six months from the date the applicant	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
first files an application for a design patent on the same subject matter in a foreign country, the applicant may enjoy the right of priority in accordance with the agreements between the said foreign country and China, or in accordance with the international treaties to which both countries have signed, or on the principle of mutual recognition of the right of priority.  If an applicant files an application for a patent with the patent administration department under the State Council within twelve months from the date the applicant first files an application for an invention or utility model patent in China, the applicant may enjoy the right of priority.	first files an application for a design patent on the same subject matter in a foreign country, the applicant may enjoy the right of priority in accordance with the agreements between the said foreign country and China, or in accordance with the international treaties to which both countries have signed, or on the principle of mutual recognition of the right of priority.  If an applicant files an application for a patent with the patent administration department under the State Council within twelve months from the date the applicant first files an application for an invention or utility model patent in China, or within six months from the date the applicant first files an application for a design patent on the same subject matter in China, the applicant may enjoy the right of priority.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第三十条	第三十一条	
申请人要求优先权的,应当在申请的 时候提出书面声明,并且在三个月内提交 第一次提出的专利申请文件的副本;未提	申请人要求优先权的,应当在申请的时候提出书面声明,并且 <u>在第一次提出发</u> 明、实用新型专利申请之日起十六个月内	
出书面声明或者逾期未提交专利申请文件副本的,视为未要求优先权。	或者在提出外观设计专利申请之日起三个 月内,提交第一次提出的专利申请文件的 副本;未提出书面声明或者逾期未提交专	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Article 30  An applicant requesting the right of priority shall submit a written declaration at the time of application and submit, within three months, a copy of the priority patent application document. The right or priority shall be deemed waived if no written declaration is submitted or a copy of the priority patent application document is not submitted prior to the expiration of the specified time limit.	和申请文件副本的,视为未要求优先权。  Article 31  An applicant requesting the right of priority shall submit a written declaration at the time of application and submit, within sixteen months from the date on which any applicant first filed a patent application for an invention or utility model, or within three months from the date on which any applicant first filed a patent application for an industrial design, a copy of the priority patent application document. The right or priority shall be deemed waived if no written declaration is submitted or a copy of the priority patent application document is not submitted prior to the expiration of the specified time limit.	AIPLA commends the extension of time limit to provide priority documents for invention and utility applications and believes that, for the same reasons, comparable and appropriate extension of time limit to provide priority documents for design patent application should also be considered.
<b>第三十一条</b> 一件发明或者实用新型专利申请应当	<b>第三十二条</b> 一件发明或者实用新型专利申请应当	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
限于一项发明或者实用新型。属于一个总的发明构思的两项以上的发明或者实用新型,可以作为一件申请提出。 一件外观设计专利申请应当限于一项外观设计。同一产品两项以上的相似外观设计,或者用于同一类别并且成套出售或者使用的产品的两项以上外观设计,可以作为一件申请提出。	限于一项发明或者实用新型。属于一个总的发明构思的两项以上的发明或者实用新型,可以作为一件申请提出。 一件外观设计专利申请应当限于一项外观设计。同一产品两项以上的相似外观设计,或者用于同一类别并且成套出售或者使用的产品的两项以上外观设计,可以作为一件申请提出。	
Article 31  An application for an invention patent or utility model patent shall be limited to one invention or utility model. Two or more inventions or utility models embodied in a single general invention concept may be handled with one application.  An application for a design patent shall be limited to one design. Two or more similar designs of one and the same product or two or more designs of products of the	Article 32  An application for an invention patent or utility model patent shall be limited to one invention or utility model. Two or more inventions or utility models embodied in a single general invention concept may be handled with one application.  An application for a design patent shall be limited to one design. Two or more similar designs of one and the same product or two or more designs of products of the same kind that	
or two or more designs of products of the same kind that are sold or used in sets may be handled with one application.	more designs of products of the same kind that are sold or used in sets may be handled with one application.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第三十二条 申请人可以在被授予专利权之前随时撤回其专利申请。  Article 32 An applicant may withdraw its patent application any time before being granted the patent right.	第三十三条 申请人可以在被授予专利权之前随时 撤回其专利申请。 Article 33 An applicant may withdraw its patent application any time before being granted the patent right.	
第三十三条 申请人可以对其专利申请文件进行修 改,但是,对发明和实用新型专利申请文件 的修改不得超出原说明书和权利要求书记载 的范围,对外观设计专利申请文件的修改不 得超出原图片或者照片表示的范围。	第三十四条 申请人可以对其专利申请文件进行修 改,但是,对发明和实用新型专利申请文件 的修改不得超出原说明书和权利要求书记载 的范围,对外观设计专利申请文件的修改不 得超出原图片或者照片表示的范围。	
Article 33 An applicant may amend its patent application documents, provided that the amendment to the invention or utility model patent application documents does not exceed the scope specified in the original written descriptions and claims, or that the amendment to the design patent application documents does not exceed the	Article 34 An applicant may amend its patent application documents, provided that the amendment to the invention or utility model patent application documents does not exceed the scope specified in the original written descriptions and claims, or that the amendment to the design patent application documents does not exceed the	

现行专利法 Current Patent Law	专利法(修正案草案) Chinese Patent Law (Draft Amendment) (2019.01)	AIPLA Comments
scope shown in the original drawings or pictures.	scope shown in the original drawings or pictures.	
第四章 专利申请的审查和批准 Chapter 4 Examination and Approval of Patent Applications	第四章 专利申请的审查和批准 Chapter 4 Examination and Approval of Patent Applications	
第三十四条 国务院专利行政部门收到发明专利申请后,经初步审查认为符合本法要求的,自申请日起满十八个月,即行公布。国务院专利行政部门可以根据申请人的请求早日公布其申请。	第三十五条 国务院专利行政部门收到发明专利申请后,经初步审查认为符合本法要求的,自申请日起满十八个月,即行公布。国务院专利行政部门可以根据申请人的请求早日公布其申请。	
Article 34  Upon receipt of an invention patent application, if the patent administration department under the State Council, after preliminary examination, confirms that the application meets the requirements of this Law, it shall publish the application within 18 full months from the date of application. And it may do so at an earlier date upon request of the applicant.	Article 35  Upon receipt of an invention patent application, if the patent administration department under the State Council, after preliminary examination, confirms that the application meets the requirements of this Law, it shall publish the application within 18 full months from the date of application. And it may do so at an earlier date upon request of the applicant.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第三十五条 发明专利申请自申请日起三年内,国 务院专利行政部门可以根据申请人随时提 出的请求,对其申请进行实质审查;申请 人无正当理由逾期不请求实质审查的,该 申请即被视为撤回。 国务院专利行政部门认为必要的时 候,可以自行对发明专利申请进行实质审 查。	第三十六条 发明专利申请自申请日起三年内,国 务院专利行政部门可以根据申请人随时提 出的请求,对其申请进行实质审查;申请 人无正当理由逾期不请求实质审查的,该 申请即被视为撤回。 国务院专利行政部门认为必要的时 候,可以自行对发明专利申请进行实质审 查。	
Article 35	Article 36	
Within three years from the date an	Within three years from the date an	
invention patent application is filed, the patent	invention patent application is filed, the patent	
administration department under the State	administration department under the State	
Council may, upon request made by the applicant at any time, carry out substantive	Council may, upon request made by the applicant at any time, carry out substantive	
examination of the application.	examination of the application.	
If the applicant, without legitimate reasons,	If the applicant, without legitimate reasons,	
fails to request substantive examination at the	fails to request substantive examination at the	
expiration of the time limit, such application	expiration of the time limit, such application	
shall be deemed to have been withdrawn.	shall be deemed to have been withdrawn.	
The patent administration department under the	The patent administration department under the	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
State Council may carry out substantive examination of its own accord, as it deems it necessary.	State Council may carry out substantive examination of its own accord, as it deems it necessary.	
第三十六条 发明专利的申请人请求实质审查的时候,应当提交在申请日前与其发明有关的参考资料。 发明专利已经在外国提出过申请的, 国务院专利行政部门可以要求申请人在指 定期限内提交该国为审查其申请进行检索 的资料或者审查结果的资料;无正当理由 逾期不提交的,该申请即被视为撤回。	第三十七条 发明专利的申请人请求实质审查的时候,应当提交在申请日前与其发明有关的参考资料。 发明专利已经在外国提出过申请的, 国务院专利行政部门可以要求申请人在指定期限内提交该国为审查其申请进行检索的资料或者审查结果的资料;无正当理由逾期不提交的,该申请即被视为撤回。	
Article 36 When an applicant for an invention patent requests substantive examination, the applicant shall submit the reference materials relating to the invention existing prior to the date of application.  If an application has been filed for an	Article 37 When an applicant for an invention patent requests substantive examination, the applicant shall submit the reference materials relating to the invention existing prior to the date of application.  If an application has been filed for an	
	invention patent in a foreign country, the patent administration department under the State Council may require the applicant to submit,	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
within a specified time limit, materials concerning any search made for the purpose of examining the application in that country, or materials concerning the results of any examination made in the country. In the event of the applicant's failure to comply at the expiration of the specified time limit without legitimate reasons, the application shall be deemed to be withdrawn.	within a specified time limit, materials concerning any search made for the purpose of examining the application in that country, or materials concerning the results of any examination made in the country. In the event of the applicant's failure to comply at the expiration of the specified time limit without legitimate reasons, the application shall be deemed to be withdrawn.	
第三十七条 国务院专利行政部门对发明专利申请 进行实质审查后,认为不符合本法规定 的,应当通知申请人,要求其在指定的期 限内陈述意见,或者对其申请进行修改; 无正当理由逾期不答复的,该申请即被视 为撤回。	第三十八条 国务院专利行政部门对发明专利申请 进行实质审查后,认为不符合本法规定 的,应当通知申请人,要求其在指定的期 限内陈述意见,或者对其申请进行修改; 无正当理由逾期不答复的,该申请即被视 为撤回。	
Article 37	Article 38	
After the patent administration department	After the patent administration department	
under the State Council has made the	under the State Council has made the	
substantive examination of the invention patent	substantive examination of the invention patent	
application, if it finds that the application does	application, if it finds that the application does	
not conform to the provisions of this Law, it	not conform to the provisions of this Law, it	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
shall notify the applicant of the need to state its opinions within a specified time limit or to make amendment to the application. In the event of the applicant's failure to comply at the expiration of the specified time limit without legitimate reasons, the application shall be deemed to be withdrawn.	shall notify the applicant of the need to state its opinions within a specified time limit or to make amendment to the application. In the event of the applicant's failure to comply at the expiration of the specified time limit without legitimate reasons, the application shall be deemed to be withdrawn.	
第三十八条 发明专利申请经申请人陈述意见或者 进行修改后,国务院专利行政部门仍然认 为不符合本法规定的,应当予以驳回。	第三十九条 发明专利申请经申请人陈述意见或者 进行修改后,国务院专利行政部门仍然认 为不符合本法规定的,应当予以驳回。	
Article 38  After the applicant states its opinions on or makes amendment to the invention patent application, if the patent administration department under the State Council still believes the application does not conform to the provisions of this Law, it shall reject the application.	Article 39  After the applicant states its opinions on or makes amendment to the invention patent application, if the patent administration department under the State Council still believes the application does not conform to the provisions of this Law, it shall reject the application.	
第三十九条	第四十条	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
发明专利申请经实质审查没有发现驳 回理由的,由国务院专利行政部门作出授 予发明专利权的决定,发给发明专利证 书,同时予以登记和公告。发明专利权自 公告之日起生效。	发明专利申请经实质审查没有发现驳 回理由的,由国务院专利行政部门作出授 予发明专利权的决定,发给发明专利证 书,同时予以登记和公告。发明专利权自 公告之日起生效。	
Article 39  If no reason for rejection is discerned after an invention patent application is substantively examined, the patent administration department under the State Council shall make a decision on granting of the invention patent right, issue an invention patent certificate, and register and make public notice. The invention patent right shall become effective as of the date of public notice.	Article 40  If no reason for rejection is discerned after an invention patent application is substantively examined, the patent administration department under the State Council shall make a decision on granting of the invention patent right, issue an invention patent certificate, and register and make public notice. The invention patent right shall become effective as of the date of public notice.	
第四十条 实用新型和外观设计专利申请经初步 审查没有发现驳回理由的,由国务院专利 行政部门作出授予实用新型专利权或者外 观设计专利权的决定,发给相应的专利证 书,同时予以登记和公告。实用新型专利 权和外观设计专利权自公告之日起生效。	第四十一条 实用新型和外观设计专利申请经初步 审查没有发现驳回理由的,由国务院专利 行政部门作出授予实用新型专利权或者外 观设计专利权的决定,发给相应的专利证 书,同时予以登记和公告。实用新型专利 权和外观设计专利权自公告之日起生效。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Article 40  If no reason for rejection is discerned after preliminary examination of a utility model or design patent application, the patent administration department under the State Council shall make a decision on granting of the utility model or design patent right, issue a corresponding patent certificate, and register and make public notice. The utility model or design patent right shall become effective as of the date of public notice.	Article 41  If no reason for rejection is discerned after preliminary examination of a utility model or design patent application, the patent administration department under the State Council shall make a decision on granting of the utility model or design patent right, issue a corresponding patent certificate, and register and make public notice. The utility model or design patent right shall become effective as of the date of public notice.	
第四十一条 国务院专利行政部门设立专利复审委 员会。专利申请人对国务院专利行政部门 驳回申请的决定不服的,可以自收到通知 之日起三个月内,向专利复审委员会请求 复审。专利复审委员会复审后,作出决 定,并通知专利申请人。 专利申请人对专利复审委员会的复审 决定不服的,可以自收到通知之日起三个 月内向人民法院起诉。	第四十二条 国务院专利行政部门设立专利复审委员会。专利申请人对国务院专利行政部门驳回申请的决定不服的,可以自收到通知之日起三个月内,向专利复审委员会请求复审。专利复审委员会复审后,作出决定,并通知专利申请人。 专利申请人对专利复审委员会的复审决定不服的,可以自收到通知之日起三个月内向人民法院起诉。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Article 41	Article 42	
The patent administration department under the State Council shall establish a Patent	The patent administration department under the State Council shall establish a Patent	
Reexamination Board. If a patent applicant	Reexamination Board. If a patent applicant	
disagrees with the decision made by the Patent	disagrees with the decision made by the Patent	
Administration department under the State	Administration department under the State	
Council on rejecting of the application, the	Council on rejecting of the application, the	
applicant may, within three months from the	applicant may, within three months from the	
date of receipt of the notification, file a request		
with the Patent Reexamination Board for	with the Patent Reexamination Board for	
reexamination. After reexamination, the	reexamination. After reexamination, the	
Patent Reexamination Board shall make a	Patent Reexamination Board shall make a	
decision and notify the patent applicant of the	decision and notify the patent applicant of the	
same.	same.	
If the patent applicant disagrees with the	If the patent applicant disagrees with the	
reexamination decision made by the Patent	reexamination decision made by the Patent	
Reexamination Board, the applicant may take	Reexamination Board, the applicant may take	
legal action before the people's court within	legal action before the people's court within	
three months from the date of receipt of the	three months from the date of receipt of the	
notification.	notification.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第五章 专利权的期限、终止和无效	第五章 专利权的期限、终止和无效	
Chapter 5 Term, Termination, and Invalidation of Patent Rights	Chapter 5 Term, Termination, and Invalidation of Patent Rights	
第四十二条 发明专利权的期限为二十年,实用新型专利权和外观设计专利权的期限为十年,均自申请日起算。	<b>第四十三条</b> 发明专利权的期限为二十年,实用新型 专利权和外观设计专利权的期限为十年, <mark>外</mark> <mark>观设计专利权的期限为十五年</mark> ,均自申请日 起算。	
	为补偿创新药品上市审评审批时间, 对在中国境内与境外同步申请上市的创新 药品发明专利,国务院可以决定延长专利 权期限,延长期限不超过五年,创新药上 市后总有效专利权期限不超过十四年。	
Article 42  The term of an invention patent right shall be 20 years, and that of a utility model or	Article 43  The term of an invention patent right shall be 20 years, and that of a utility model ordesign patent right shall be 10 years, and that of a design patent shall be 15 years, all starting	AIPLA commends the patent term extension of patents on innovative drugs to compensate for the time taken to evaluate and obtain marketing approval of such drugs. However, it is not clear whether patent term extension

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
design patent right shall be 10 years, all starting from the application date.	In order to compensate for the time taken for the evaluation and approval of innovative drugs, the State Council may decide to extend the duration of the patent right, for invention patents of innovative drugs for which marketing approval is applied simultaneously in China and abroad, for a period of not more than 5 years, and the total patent term of such innovative drugs after market launch shall not exceed 14 years.	is only available when it is based on simultaneous applying for marketing approval both in China and abroad, as the current wording suggests, or also available when based on applying for marketing approval only in China, or in China first. Also, we recommend providing transitional measures to grant patent term extensions for patents covering medicines currently approved in China, or nearing Chinese marketing approval, that did not synchronously apply for market launch in China. Lastly, we anticipate the term "innovative drug" will be clearly defined in the implementing regulations, so patent holders will understand which patents will qualify for term extension.
<b>第四十三条</b> 专利权人应当自被授予专利权的当年 开始缴纳年费。	<b>第四十四条</b> 专利权人应当自被授予专利权的当年 开始缴纳年费。	
Article 43	Article 44	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
A patentee shall start paying annuities from the year the patent right is granted.	A patentee shall start paying annuities from the year the patent right is granted.	
第四十四条 有下列情形之一的,专利权在期限届 满前终止: (一)没有按照规定缴纳年费的; (二)专利权人以书面声明放弃其专 利权的。 专利权在期限届满前终止的,由国务 院专利行政部门登记和公告。	第四十五条 有下列情形之一的,专利权在期限届满前终止: (一)没有按照规定缴纳年费的; (二)专利权人以书面声明放弃其专 利权的。 专利权在期限届满前终止的,由国务院专利行政部门登记和公告。	
Article 44  Under any of the following circumstances, the patent right shall be terminated before the expiration of the term:  (1) failure to pay the annuity in accordance with the regulations; or  (2) the patentee waives the patent right by a written declaration.  If a patent right is terminated before the	Article 45  Under any of the following circumstances, the patent right shall be terminated before the expiration of the term:  (1) failure to pay the annuity in accordance with the regulations; or  (2) the patentee waives the patent right by a written declaration.  If a patent right is terminated before the	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
term expires, the patent administration department under the State Council shall register and make public notice of such termination.	term expires, the patent administration department under the State Council shall register and make public notice of such termination.	
第四十五条 自国务院专利行政部门公告授予专利 权之日起,任何单位或者个人认为该专利 权的授予不符合本法有关规定的,可以请 求专利复审委员会宣告该专利权无效。	第四十六条 自国务院专利行政部门公告授予专利 权之日起,任何单位或者个人认为该专利 权的授予不符合本法有关规定的,可以请 求专利复审委员会宣告该专利权无效。	
Article 45	Article 46	
Starting from the date the patent	Starting from the date the patent	
administration department under the State	administration department under the State	
Council announces the grant of a patent right,	Council announces the grant of a patent right,	
any employer entity or individual may request	any employer entity or individual may request	
the Patent Reexamination Board to declare the patent invalid if the employer entity or	the Patent Reexamination Board to declare the patent invalid if the employer entity or	
individual believes that the grant of patent right	individual believes that the grant of patent right	
does not conform to the relevant provisions of	does not conform to the relevant provisions of	
this Law.	this Law.	
第四十六条	第四十七条	
专利复审委员会对宣告专利权无效的	专利复审委员会对宣告专利权无效的	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
请求应当及时审查和作出决定,并通知请 求人和专利权人。宣告专利权无效的决 定,由国务院专利行政部门登记和公告。	请求应当及时审查和作出决定,并通知请 求人和专利权人。宣告专利权无效的决 定,由国务院专利行政部门登记和公告。	
对专利复审委员会宣告专利权无效或 者维持专利权的决定不服的,可以自收到 通知之日起三个月内向人民法院起诉。人 民法院应当通知无效宣告请求程序的对方 当事人作为第三人参加诉讼。	对专利复审委员会宣告专利权无效或 者维持专利权的决定不服的,可以自收到 通知之日起三个月内向人民法院起诉。人 民法院应当通知无效宣告请求程序的对方 当事人作为第三人参加诉讼。	
Article 46  The Patent Reexamination Board shall examine the request for declaring a patent invalid and make a decision in a timely manner, and notify the requesting party and the patentee of its decision. The decision on declaring a patent invalid shall be registered and made public notice by the patent administration department under the State	Article 47  The Patent Reexamination Board shall examine the request for declaring a patent invalid and make a decision in a timely manner, and notify the requesting party and the patentee of its decision. The decision on declaring a patent invalid shall be registered and made public notice by the patent administration department under the State	
Council.	Council.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
A party that disagrees with the Patent Reexamination Board's decision on declaring a patent invalid or its decision on affirming the patent right may take legal action before a people's court, within three months from the date of receipt of the notification. The people's court shall notify the opposite party in the invalidation procedure to participate in the litigation as a third party.	A party that disagrees with the Patent Reexamination Board's decision on declaring a patent invalid or its decision on affirming the patent right may take legal action before a people's court, within three months from the date of receipt of the notification. The people's court shall notify the opposite party in the invalidation procedure to participate in the litigation as a third party.	
第四十七条 宣告无效的专利权视为自始即不存在。 宣告专利权无效的决定,对在宣告专 利权无效前人民法院作出并已执行的专利 侵权的判决、调解书,已经履行或者强制 执行的专利侵权纠纷处理决定,以及已经 履行的专利实施许可合同和专利权转让合 同,不具有追溯力。但是因专利权人的恶 意给他人造成的损失,应当给予赔偿。 依照前款规定不返还专利侵权赔偿 金、专利使用费、专利权转让费,明显违 反公平原则的,应当全部或者部分返还。	第四十八条 宣告无效的专利权视为自始即不存在。 宣告专利权无效的决定,对在宣告专利权无效前人民法院作出并已执行的专利 侵权的判决、调解书,已经履行或者强制 执行的专利侵权纠纷处理决定,以及已经 履行的专利实施许可合同和专利权转让合同,不具有追溯力。但是因专利权人的恶 意给他人造成的损失,应当给予赔偿。 依照前款规定不返还专利侵权赔偿 金、专利使用费、专利权转让费,明显违 反公平原则的,应当全部或者部分返还。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Article 47	Article 48	
The right to any patent that has been	The right to any patent that has been	
declared invalid shall be deemed to be non-	declared invalid shall be deemed to be non-	
existent from the beginning.	existent from the beginning.	
The decision on declaring a patent invalid	The decision on declaring a patent invalid	
shall have no retroactive effect on any	shall have no retroactive effect on any	
judgment or mediation agreement on patent	judgment or mediation agreement on patent	
infringement that has been made and enforced	infringement that has been made and enforced	
by the people's court, or on any decision on the	by the people's court, or on any decision on the	
handling of a dispute over the patent	handling of a dispute over the patent	
infringement that has been performed or	infringement that has been performed or	
compulsively executed, or on any exploitation	compulsively executed, or on any exploitation	
license agreement or patent right transfer	license agreement or patent right transfer	
agreement that has been performed prior to the	agreement that has been performed prior to the	
invalidation declaration of the patent right.	invalidation declaration of the patent right.	
However, compensation shall be made for the	However, compensation shall be made for the	
losses caused to others by the patentee's	losses caused to others by the patentee's	
malicious act.	malicious act.	
Full or partial refund should be made if	Full or partial refund should be made if	
not refunding the patent infringement	not refunding the patent infringement	
compensation, royalties, and patent right	compensation, royalties, and patent right	
transfer fees pursuant to the provisions of the	transfer fees pursuant to the provisions of the	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
preceding paragraph would constitute a blatant violation of the principle of fairness.	preceding paragraph would constitute a blatant violation of the principle of fairness.	
第六章 专利实施的强制许可 Chapter 6 Compulsory License for Exploitation of a Patent	第六章 专利实施的 <mark>强制特别</mark> 许可 Chapter 6 Compulsory Special License for Exploitation of a Patent 第四十九条 国务院专利行政部门、地方人民政府管理专利工作的部门应当会同同级相关部	
	门采取措施,加强专利公共服务,促进专利实施和运用。  Article 49 (New) The patent administration department under the	AIPLA notes that the policy of promoting the implementation and application of patents is
	State Council and the administrative department for patent affairs under the local people's government shall, together with the relevant departments at the same level, take measures to strengthen the public service for patent and promote the implementation and application of	laudable. Nonetheless, it must be considered in the context of the patent owner's reasonable business judgment as well as a wide variety of other public policies.
	patent. 第五十条 专利权人以书面方式向国务院专利行	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment)	
	(2019.01)	
	政部门声明愿意许可任何单位或者个人实施其专利,并明确许可使用费支付方式、标准的,由国务院专利行政部门予以公告,实行开放许可。就实用新型、外观设计专利提出开放许可声明的,应当提供专利权评价报告。 专利权人撤回开放许可声明的,应当以书面方式提出,并由国务院专利行政部	
	门予以公告。开放许可声明被公告撤回的,不影响在先给予的开放许可的效力。  Article 50 (New)	AIPLA supports the principle of
	When the patentee has made a written declaration to the patent administration department under the State Council that it is willing to license any employer entity or individual to implement its patent ,and specify the payment procedure and standard for royalties, it shall be published by the patent administration department under the State Council as being subject to "open license." The patentee declaring an open license of utility models or design patents shall provide the	transparency to the extent possible.  However, AIPLA has several concerns regarding the mechanism described in Articles 50 & 51.  First, the text fails to clarify that such declaration of "willing[ness] to license any entity or individual" should be voluntary.

现行专利法 Current Patent Law	专利法(修正案草案) Chinese Patent Law (Draft Amendment) (2019.01)	AIPLA Comments
	In case where such an open license declaration is withdrawn, the patentee shall submit a written withdrawal to the patent administration department under the State Council for publication. The withdrawal of an open license declaration shall not affect the validity of any issued open license before the withdrawal.	Second, the text lacks any mechanism to incentivize users of such declared patents to pay the agreed fee rather than to infringe.  We therefore recommend the following revisions:  (1) Adding "voluntary" to "when the patentee has made a written declaration to the administrative department" to clarify that the open license declaration is voluntary.  (2) In line with WTO TRIPS Article 41(1) add this sentence at the end of Article 50: "The existence of a declaration under this article shall not derogate from the patentee's right for an effective action against any act of infringement of its patent, including expeditious remedies to prevent infringements and remedies which constitute a deterrent to further infringements."

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	第五十一条 任何单位或者个人有意愿实施开放许可的专利的,以书面方式通知专利权人,并依照公告的许可使用费支付方式、标准支付许可使用费后,即获得专利实施许可。 开放许可期间,专利权人不得就该专利给予独占或者排他许可。  Article 51 Any employer entity or individual willing to implement a patent subject to an open licensed may obtain a license to implement the patent by sending a written notification to the patentee and paying the license fee according to the payment procedure and standard published.  No sole or exclusive license shall be granted by the patentee for the same patent during the effective period of an open license.	See comments to Article 50.

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	第五十二条 当事人就实施开放许可发生纠纷的,可以请求国务院专利行政部门进行调解。  Article 52 (New) Parties who have disputes arising from the implementation of an open license may request the patent administration department under the State Council to mediate.	AIPLA understands the impetus behind this new article, which is to bring an amicable and speedy end to commercial disputes. However, AIPLA believes that this new provision may discouraging voluntary negotiations between the involved parties. A party may not negotiate in good faith in the expectation that the agency may become involved and improve its bargaining position, gaming the system.  Dispute resolution is an extremely complex social interaction that requires substantial experience, understanding of human and commercial behavior, and experience and skills relating uniquely to
		alternative dispute resolution, in contrast to other forms of dispute resolution that involve judgment or evaluation of the merits. AIPLA is concerned that, because

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
		the traditional responsibilities of CNIPA does not include mediating commercial disputes and that mediation is outside the mandated scope of the Patent Act, CNIPA may lack the experience and resources to effectively mediate commercial disputes. AIPLA respectfully submits that courts may be able to resolve such disputes more efficiently and effectively.
第四十八条 有下列情形之一的,国务院专利行政 部门根据具备实施条件的单位或者个人的 申请,可以给予实施发明专利或者实用新 型专利的强制许可: (一)专利权人自专利权被授予之日 起满三年,且自提出专利申请之日起满四 年,无正当理由未实施或者未充分实施其 专利的; (二)专利权人行使专利权的行为被 依法认定为垄断行为,为消除或者减少该 行为对竞争产生的不利影响的。	第五十三条 有下列情形之一的,国务院专利行政 部门根据具备实施条件的单位或者个人的 申请,可以给予实施发明专利或者实用新 型专利的强制许可: (一)专利权人自专利权被授予之日 起满三年,且自提出专利申请之日起满四 年,无正当理由未实施或者未充分实施其 专利的; (二)专利权人行使专利权的行为被 依法认定为垄断行为,为消除或者减少该 行为对竞争产生的不利影响的。	
Article 48	Article 53	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Under any of the following circumstances, the patent administration department under the State Council may, upon application made by any employer entity or individual that possesses the resource for exploitation, grant a compulsory license for exploitation of an invention patent or utility model patent:  (1) the patentee, without legitimate reasons, fails to exploit or fully exploit its patent three years since the date the patent right is granted and four years since the application date; or  (2) if such compulsory license can eliminate or reduce the negative impact on competition when patentee's exercise of its patent right has been deemed as monopoly in	Under any of the following circumstances, the patent administration department under the State Council may, upon application made by any employer entity or individual that possesses the resource for exploitation, grant a compulsory license for exploitation of an invention patent or utility model patent:  (1) the patentee, without legitimate reasons, fails to exploit or fully exploit its patent three years since the date the patent right is granted and four years since the application date; or  (2) if such compulsory license can eliminate or reduce the negative impact on competition when patentee's exercise of its patent right has been deemed as monopoly in	
accordance with the laws. <b>第四十九条</b> 在国家出现紧急状态或者非常情况 时,或者为了公共利益的目的,国务院专 利行政部门可以给予实施发明专利或者实	accordance with the laws. <b>第五十四条</b> 在国家出现紧急状态或者非常情况 时,或者为了公共利益的目的,国务院专利行政部门可以给予实施发明专利或者实	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
用新型专利的强制许可。	用新型专利的强制许可。	
When a national emergency or any extraordinary state of affairs arises, or for public interests purposes, the patent administration department under the State Council may grant a compulsory license for the exploitation of an invention patent or utility model patent.	Article 54  When a national emergency or any extraordinary state of affairs arises, or for public interests purposes, the patent administration department under the State Council may grant a compulsory license for the exploitation of an invention patent or utility model patent.	
第五十条 为了公共健康目的,对取得专利权的 药品,国务院专利行政部门可以给予制造 并将其出口到符合中华人民共和国参加的 有关国际条约规定的国家或者地区的强制 许可。	第五十五条 为了公共健康目的,对取得专利权的 药品,国务院专利行政部门可以给予制造 并将其出口到符合中华人民共和国参加的 有关国际条约规定的国家或者地区的强制 许可。	
Article 50  For the purposes of public health, the patent administration department under the State Council may grant a compulsory license on manufacturing a patented pharmaceutical	Article 55  For the purposes of public health, the patent administration department under the State Council may grant a compulsory license on manufacturing a patented pharmaceutical	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
and exporting it to the countries or regions that conform to the provisions of the relevant international treaties to which the People's Republic of China has signed.	and exporting it to the countries or regions that conform to the provisions of the relevant international treaties to which the People's Republic of China has signed.	
第五十一条 一项取得专利权的发明或者实用新型 比前已经取得专利权的发明或者实用新型 具有显著经济意义的重大技术进步,其实 施又有赖于前一发明或者实用新型的实施 的,国务院专利行政部门根据后一专利权 人的申请,可以给予实施前一发明或者实 用新型的强制许可。 在依照前款规定给予实施强制许可的 情形下,国务院专利行政部门根据前一专 利权人的申请,也可以给予实施后一发明 或者实用新型的强制许可。	第五十六条 一项取得专利权的发明或者实用新型 比前已经取得专利权的发明或者实用新型 具有显著经济意义的重大技术进步,其实 施又有赖于前一发明或者实用新型的实施 的,国务院专利行政部门根据后一专利权 人的申请,可以给予实施前一发明或者实 用新型的强制许可。 在依照前款规定给予实施强制许可的 情形下,国务院专利行政部门根据前一专 利权人的申请,也可以给予实施后一发明 或者实用新型的强制许可。	
Article 51	Article 56	
If an invention or utility model, for which the patent right has been obtained, represents a major technological advancement of remarkable economic significance, compared	If an invention or utility model, for which the patent right has been obtained, represents a major technological advancement of remarkable economic significance, compared	
with an earlier invention or utility model for	with an earlier invention or utility model for	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
which the patent right has already been obtained, and exploitation of the former relies on exploitation of the latter, the patent administration department under the State Council may, upon application made by the latter, grant it a compulsory license to exploit the earlier invention or utility model.  Under the circumstance where a compulsory license for exploitation is granted in accordance with the provisions of the preceding paragraph, the patent administration department under the State Council may, upon application made by the earlier patentee, grant it a compulsory license to exploit the later invention or utility model.	which the patent right has already been obtained, and exploitation of the former relies on exploitation of the latter, the patent administration department under the State Council may, upon application made by the latter, grant it a compulsory license to exploit the earlier invention or utility model.  Under the circumstance where a compulsory license for exploitation is granted in accordance with the provisions of the preceding paragraph, the patent administration department under the State Council may, upon application made by the earlier patentee, grant it a compulsory license to exploit the later invention or utility model.	
第五十二条 强制许可涉及的发明创造为半导体技术的,其实施限于公共利益的目的和本法第四十八条第(二)项规定的情形。 Article 52 If an invention involved in a compulsory	第五十七条 强制许可涉及的发明创造为半导体技术的,其实施限于公共利益的目的和本法第四十八条第(二)项规定的情形。 Article 57 If an invention involved in a compulsory	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
license is a semi-conductor technology, the exploitation thereof shall be limited to the purpose of public interests and to the circumstances as provided for in Subparagraph (2) of Article 48 of this Law.	license is a semi-conductor technology, the exploitation thereof shall be limited to the purpose of public interests and to the circumstances as provided for in Subparagraph (2) of Article 48 of this Law.	
<b>第五十三条</b> 除依照本法第四十八条第(二)项、 第五十条规定给予的强制许可外,强制许 可的实施应当主要为了供应国内市场。	第五十八条 除依照本法第四十八条第(二)项、 第五十条规定给予的强制许可外,强制许 可的实施应当主要为了供应国内市场。	
Article 53	Article 58	
Except for the compulsory license granted in accordance with the provisions of Subparagraph (2) of Article 48 or Article 50 of this Law, compulsory license shall mainly be exercised for the supply to the domestic market.	Except for the compulsory license granted in accordance with the provisions of Subparagraph (2) of Article 48 or Article 50 of this Law, compulsory license shall mainly be exercised for the supply to the domestic market.	
第五十四条 依照本法第四十八条第(一)项、第 五十一条规定申请强制许可的单位或者个 人应当提供证据,证明其以合理的条件请 求专利权人许可其实施专利,但未能在合	第五十九条 依照本法第四十八条第(一)项、第 五十一条规定申请强制许可的单位或者个 人应当提供证据,证明其以合理的条件请 求专利权人许可其实施专利,但未能在合	

理的时间内获得许可。	现行专利法	专利法(修正案草案)	AIPLA Comments
理的时间内获得许可。  Article 54  An employer entity or individual that applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to exploit the patent from the patentee.  第五十五条 国务院专利行政部门作出的给予实施强制许可的决定,应当及时通知专利权人,并予以登记和公告。 给予实施强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的理由消除并不再发生时,国务院专利行政部门应当根据专利权人的请求,经审查后作出终止实施强制许可的决定。  理的时间内获得许可。  Article 59  An employer entity or individual that applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to exploit the patent from the patentee.  第六十条 国务院专利行政部门作出的给予实施强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据强制许可的决定,应当根据要利权人的请求,经审查后作出终止实施强制许可的决	<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment)	
Article 54  An employer entity or individual that applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to exploit the patent from the patentee.  # 第五十五条  国务院专利行政部门作出的给予实施强制许可的决定,应当及时通知专利权人,并予以登记和公告。 给予实施强制许可的决定,应当根据强制许可的决定,应当根据强制许可的理由规定实施的范围和时间。		(2019.01)	
An employer entity or individual that applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to exploit the patent from the patentee.  # 第 五 十 五 条  国务院专利行政部门作出的给予实施 强制许可的决定,应当及时通知专利权人,并予以登记和公告。 给予实施强制许可的决定,应当根据 强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。强制许可的理由规定实施的范围和时间。	理的时间内获得许可。	理的时间内获得许可。	
applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to exploit the patent from the patentee.  ### ### ### ### ### ### ### ### ### #	Article 54	Article 59	
国务院专利行政部门作出的给予实施 强制许可的决定,应当及时通知专利权 人,并予以登记和公告。 给予实施强制许可的决定,应当根据 强制许可的理由规定实施的范围和时间。 强制许可的理由消除并不再发生时,国务 院专利行政部门应当根据专利权人的请 求,经审查后作出终止实施强制许可的决	applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to	applies for a compulsory license in accordance with the provisions of Subparagraph (1) of Article 48 or Article 51 of this Law shall provide evidence to show that it has, under reasonable terms, requested but failed to obtain within a reasonable period of time a license to	
Article 55 Article 60	国务院专利行政部门作出的给予实施强制许可的决定,应当及时通知专利权人,并予以登记和公告。 给予实施强制许可的决定,应当根据强制许可的理由规定实施的范围和时间。 强制许可的理由消除并不再发生时,国务院专利行政部门应当根据专利权人的请求,经审查后作出终止实施强制许可的决定。	国务院专利行政部门作出的给予实施强制许可的决定,应当及时通知专利权人,并予以登记和公告。 给予实施强制许可的决定,应当根据强制许可的理由规定实施的范围和时间。 强制许可的理由消除并不再发生时,国务院专利行政部门应当根据专利权人的请求,经审查后作出终止实施强制许可的决定。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
The decision made by the patent administration department under the State Council on granting of a compulsory license for exploitation shall be notified to the patentee in a timely manner and shall be registered and made public notice.  A decision on granting of the compulsory license for exploitation shall, according to the reasons justifying the compulsory license, specify the scope and duration for exploitation. When the reasons for compulsory license cease to exist and do not occur again, the patent administration department under the State Council shall, upon request by the patentee, make a decision to terminate the compulsory license after a review.	The decision made by the patent administration department under the State Council on granting of a compulsory license for exploitation shall be notified to the patentee in a timely manner and shall be registered and made public notice.  A decision on granting of the compulsory license for exploitation shall, according to the reasons justifying the compulsory license, specify the scope and duration for exploitation. When the reasons for compulsory license cease to exist and do not occur again, the patent administration department under the State Council shall, upon request by the patentee, make a decision to terminate the compulsory license after a review.	
第五十六条 取得实施强制许可的单位或者个人不 享有独占的实施权,并且无权允许他人实 施。  Article 56	第六十一条 取得实施强制许可的单位或者个人不 享有独占的实施权,并且无权允许他人实 施。  Article 61	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Any employer entity or individual that is granted a compulsory license for exploitation shall not have an exclusive right for exploitation and shall not have the right to license exploitation to others.	Any employer entity or individual that is granted a compulsory license for exploitation shall not have an exclusive right for exploitation and shall not have the right to license exploitation to others.	
第五十七条 取得实施强制许可的单位或者个人应 当付给专利权人合理的使用费,或者依照 中华人民共和国参加的有关国际条约的规 定处理使用费问题。付给使用费的,其数 额由双方协商;双方不能达成协议的,由 国务院专利行政部门裁决。	第六十二条 取得实施强制许可的单位或者个人应 当付给专利权人合理的使用费,或者依照 中华人民共和国参加的有关国际条约的规 定处理使用费问题。付给使用费的,其数 额由双方协商;双方不能达成协议的,由 国务院专利行政部门裁决。	
Article 57	Article 62	
The employer entity or individual granted a compulsory license for exploitation shall pay reasonable royalties to the patentee, or handle the issue of royalties in accordance	The employer entity or individual granted a compulsory license for exploitation shall pay reasonable royalties to the patentee, or handle the issue of royalties in accordance	
with the provisions of the relevant international treaties to which the People's Republic of China has signed. The amount of royalties to be paid shall be subject to consultation	with the provisions of the relevant international treaties to which the People's Republic of China has signed. The amount of royalties to be paid shall be subject to consultation	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
between the two parties. In the event of failure to reach an agreement between the two parties, the patent administration department under the State Council shall make a ruling.	between the two parties. In the event of failure to reach an agreement between the two parties, the patent administration department under the State Council shall make a ruling.	
第五十八条 专利权人对国务院专利行政部门关于 实施强制许可的决定不服的,专利权人和 取得实施强制许可的单位或者个人对国务 院专利行政部门关于实施强制许可的使用 费的裁决不服的,可以自收到通知之日起 三个月内向人民法院起诉。	第六十三条 专利权人对国务院专利行政部门关于 实施强制许可的决定不服的,专利权人和 取得实施强制许可的单位或者个人对国务 院专利行政部门关于实施强制许可的使用 费的裁决不服的,可以自收到通知之日起 三个月内向人民法院起诉。	
Article 58  If a patentee disagrees with the decision made by the patent administration department	Article 63  If a patentee disagrees with the decision made by the patent administration department	
under the State Council on granting of the compulsory license for exploitation, or if the patentee, or the employer entity or individual	under the State Council on granting of the compulsory license for exploitation, or if the patentee, or the employer entity or individual	
that has obtained the compulsory license for exploitation disagrees with the ruling made by	that has obtained the compulsory license for exploitation disagrees with the ruling made by	
the patent administration department under the State Council regarding the royalties for the compulsorily licensed exploitation, it may take	the patent administration department under the State Council regarding the royalties for the compulsorily licensed exploitation, it may take	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
legal action before the people's court within three months from the date of receipt of the notification of the ruling.	legal action before the people's court within three months from the date of receipt of the notification of the ruling.	
第七章 专利权的保护 Chapter 7 Protection of Patent Rights	第七章 专利权的保护 Chapter 7 Protection of Patent Rights	
第五十九条 发明或者实用新型专利权的保护范围 以其权利要求的内容为准,说明书及附图 可以用于解释权利要求的内容。 外观设计专利权的保护范围以表示在 图片或者照片中的该产品的外观设计为 准,简要说明可以用于解释图片或者照片	第六十四条 发明或者实用新型专利权的保护范围 以其权利要求的内容为准,说明书及附图 可以用于解释权利要求的内容。 外观设计专利权的保护范围以表示在 图片或者照片中的该产品的外观设计为 准,简要说明可以用于解释图片或者照片	
所表示的该产品的外观设计。  Article 59	所表示的该产品的外观设计。 Article 64	
The scope of protection for invention and utility model patents shall be defined by the	The scope of protection for invention and utility model patents shall be defined by the	
claims, and the written description and drawings may be used to construe the claims.  The scope of protection for design patents	claims, and the written description and drawings may be used to construe the claims.  The scope of protection for design patents	
shall defined by the design of the product as	shall defined by the design of the product as	

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment) (2019.01)	
shown in the drawings or pictures, and the brief description may be used to construe the design as shown in the drawings or pictures.	shown in the drawings or pictures, and the brief description may be used to construe the design as shown in the drawings or pictures.	
第六十条 未经专利权人许可,实施其专利,即 侵犯其专利权,引起纠纷的,由当事人协 商解决;不愿协商或者协商不成的,专利 权人或者利害关系人可以向人民法院起 诉,也可以请求管理专利工作的部门处 理。管理专利工作的部门处理时,认定侵 权行为成立的,可以责令侵权人立即停止 侵权行为,当事人不服的,可以自收到处 理通知之日起十五日内依照《中华人民共 和国行政诉讼法》向人民法院起诉;侵权 人期满不起诉又不停止侵权行为的,管理 专利工作的部门可以申请人民法院强制执 行。进行处理的管理专利工作的部门应当 事人的请求,可以就侵犯专利权的赔偿数 额进行调解;调解不成的,当事人可以依 照《中华人民共和国民事诉讼法》向人民 法院起诉。	第六十五条 未经专利权人许可,实施其专利,即侵犯其专利权,引起纠纷的,由当事人协商解决;不愿协商或者协商不成的,专利权人或者利害关系人可以向人民法院起诉,也可以请求管理专利工作的部门处理。管理专利工作的部门处理的一个人定人对方,当事人不服的,可以自收到处理通知之日起十五日内依照《中华人民共和国行政诉讼法》向人民法院起诉;侵权人期满不起诉又不停止侵权行为的,管理专利工作的部门可以申请人民法院强制执行。进行处理的管理专利工作的部门应当事人的请求,可以就侵犯专利权的赔偿数额进行调解;调解不成的,当事人可以依照《中华人民共和国民事诉讼法》向人民法院起诉。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Article 60	Article 65	
If a dispute arises as a result of	If a dispute arises as a result of	
exploitation of a patent without the	exploitation of a patent without the	
patentee's permission, that is, the patent right	patentee's permission, that is, the patent right	
of the patentee is infringed, the dispute shall	of the patentee is infringed, the dispute shall	
be settled through consultation between the	be settled through consultation between the	
parties. If the parties are not willing to	parties. If the parties are not willing to settle	
settle or if settling effort fails, the patentee or	or if settling effort fails, the patentee or	
interested party may take legal action before	interested party may take legal action before	
a people's court, and may also request the	a people's court, and may also request the	
department administrating patent affairs to	department administrating patent affairs to	
handle the dispute. If the department	handle the dispute. If the department	
administrating patent affairs, in handling a	administrating patent affairs, in handling a	
dispute, determines infringement, it can	dispute, determines infringement, it can	
order the infringer to immediately stop the	order the infringer to immediately stop the	
infringing act. Any party disagreeing with	infringing act. Any party disagreeing with	
the order may, within 15 days from the date	the order may, within 15 days from the date	
of receipt of the notification of the order,	of receipt of the notification of the order,	
take legal action before a people's court in	take legal action before a people's court in	
accordance with the Administrative	accordance with the Administrative	
Procedure Law of the People's Republic of	Procedure Law of the People's Republic of	
China. If the infringer neither takes legal	China. If the infringer neither takes legal	
action at the expiration of the time limit nor	action at the expiration of the time limit nor	
ceases the infringement, the department	ceases the infringement, the department	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
administrating patent affairs may file an application with the people's court for compulsory enforcement. The department administrating patent affairs may, upon request of the parties, carry out mediation concerning the amount of damage for the patent right infringement. If the mediation fails, the parties may take legal action before the people's court in accordance with the Civil Procedure Law of the People's Republic of China.	administrating patent affairs may file an application with the people's court for compulsory enforcement. The department administrating patent affairs may, upon request of the parties, carry out mediation concerning the amount of damage for the patent right infringement. If the mediation fails, the parties may take legal action before the people's court in accordance with the Civil Procedure Law of the People's Republic of China.	
第六十一条 专利侵权纠纷涉及新产品制造方法的 发明专利的,制造同样产品的单位或个人 应当提供其产品制造方法不同于专利方法 的证明。 专利侵权纠纷涉及实用新型专利或者 外观设计专利的,人民法院或者管理专利 工作的部门可以要求专利权人或者利害关 系人出具由国务院专利行政部门对相关实 用新型专利或者外观设计专利进行检索、	第六十六条 专利侵权纠纷涉及新产品制造方法的 发明专利的,制造同样产品的单位或个人 应当提供其产品制造方法不同于专利方法 的证明。 专利侵权纠纷涉及实用新型专利或者 外观设计专利的,人民法院或者管理专利 工作的部门可以要求专利权人或者利害关 系人出具由国务院专利行政部门对相关实 用新型专利或者外观设计专利进行检索、	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
分析和评价后作出的专利权评价报告,作为审理、处理专利侵权纠纷的证据。	分析和评价后作出的专利权评价报告,作 为审理、处理专利侵权纠纷的证据。 <mark>双方</mark> <b>当事人也可以主动出具专利权评价报告。</b>	
Article 61  If a patent infringement dispute involves an invention patent on a new method for manufacturing a product, the employer entity or individual manufacturing the same product shall provide evidence to show that the manufacturing method of their own product is different from the patented method.  If a patent infringement dispute involves a utility model patent or a design patent, the people's court or the department administrating patent affairs may require the patentee or the interested parties to present a patentability assessment report prepared by the patent administration department under the State Council through searching, analyzing, and assessing the relevant utility model or design, which shall serve as evidence for ajudicating or handling the patent infringement dispute.	Article 66  If a patent infringement dispute involves an invention patent on a new method for manufacturing a product, the employer entity or individual manufacturing the same product shall provide evidence to show that the manufacturing method of their own product is different from the patented method.  If a patent infringement dispute involves a utility model patent or a design patent, the people's court or the department administrating patent affairs may require the patentee or the interested parties to present a patentability assessment report prepared by the patent administration department under the State Council through searching, analyzing, and assessing the relevant utility model or design, which shall serve as evidence for ajudicating or handling the patent infringement dispute.	AIPLA supports allowing both the patentee and the defendant to obtain and submit to the court or agency patentability assessment report for related patent infringement dispute. AIPLA is concerned that such report submitted by one party in an adversarial proceeding may be biased and self-serving, therefore suggests making such report accessible to the other party (and third parties) so that the other party is given an opportunity to review and to rebut it, if needed.

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	Either party could provide the above patentability assessment report on its own initiative.	
第六十二条 在专利侵权纠纷中,被控侵权人有证 据证明其实施的技术或者设计属于现有技 术或者现有设计的,不构成侵犯专利权。	第六十七条 在专利侵权纠纷中,被控侵权人有证 据证明其实施的技术或者设计属于现有技 术或者现有设计的,不构成侵犯专利权。	
Article 62  In a patent infringement dispute, if the accused infringer has evidence to prove that the technology or design exploited is prior art or design, the exploitation shall not constitute patent infringement.	Article 67  In a patent infringement dispute, if the accused infringer has evidence to prove that the technology or design exploited is prior art or design, the exploitation shall not constitute patent infringement.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第六十三条 假冒专利的,除依法承担民事责任 外,由管理专利工作的部门责令改正并予 公告,没收违法所得,可以并处违法所得 四倍以下的罚款;没有违法所得的,可以 处二十万元以下的罚款;构成犯罪的,依 法追究刑事责任。	第六十八条 假冒专利的,除依法承担民事责任 外,由管理专利工作负责专利执法的部门 责令改正并予公告,没收违法所得,可以 并处违法所得四五倍以下的罚款;没有违 法所得或者违法所得在五万元以下的,可 以处二十五万元以下的罚款;构成犯罪 的,依法追究刑事责任。	
Article 63	Article 68	
Anyone who passes off the patent of	Anyone who passes off the patent of	
another shall, in addition to bearing civil liabilities in accordance with the laws, be	another shall, in addition to bearing civil liabilities in accordance with the laws, be	
ordered by the department administrating	ordered by the department administrating	
patent affairs to correct the act and made	patent affairs responsible for patent	
known to the public. The illegal business	enforcement to correct the act and made known	
income shall be confiscated, and a fine of less	to the public. The illegal business income	
than four folds of the illegal business income	shall be confiscated, and a fine of less than four	
may be imposed. Where no unlawful	five folds of the illegal business income may	
business income has been generated, a fine of	be imposed. Where no unlawful business	
less than RMB 200,000 may be imposed. If a	income has been generated or when the	
crime is constituted, criminal liability shall be	unlawful business income is less than RMB	
pursued in accordance with the laws.	50,000, a fine of less than RMB $2050,000$ may	
	be imposed. If a crime is constituted,	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	criminal liability shall be pursued in accordance with the laws.	
第六十四条 管理专利工作的部门根据已经取得的证据,对涉嫌假冒专利行为进行查处时,可以询问有关当事人,调查与涉嫌违法行为有关的情况;对当事人涉嫌违法行为的场所实施现场检查;查阅、复制与涉嫌违法行为有关的合同、发票、账簿以及其他有关资料;检查与涉嫌违法行为有关的产品,对有证据证明是假冒专利的产品,可以查封或者扣押。 管理专利工作的部门依法行使前款规定的职权时,当事人应当予以协助、配合,不得拒绝、阻挠。	第六十九条 管理专利工作的部门、负责专利执法 的部门根据已经取得的证据,对涉嫌侵犯 专利权、假冒专利行为进行处理、查处 时,可以询问有关当事人,调查与涉嫌违 法行为有关的情况;对当事人涉嫌违法行 为的场所实施现场检查;查阅、复制与涉 嫌违法行为有关的合同、发票、账簿以及 其他有关资料;检查与涉嫌违法行为有关 的产品,对有证据证明是假冒专利的产 品,可以查封或者扣押。 管理专利工作的部门、负责专利执法 的部门 依法行使前款规定的职权时,当事 人应当予以协助、配合,不得拒绝、阻 挠。	
	Article 69  When the department administrating	AIPLA remains concerned that the

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
Article 64  When the department administrating patent affairs investigates and disposes a suspected act of passing off of patent based on evidence obtained, it may inquire the parties concerned, and investigate the circumstances related to the suspected illegal act; it may conduct field inspection of the places where the suspected illegal act takes place; it may review and copy the relevant contracts, invoices, accounting books, and other related materials; it may inspect the products related to the suspected illegal act, and seal or seize the products that have been proved to be patent passing-off products.  When the department administrating patent affairs performs its duties as prescribed in the preceding paragraph, the parties concerned shall provide assistance and cooperation, and shall not refuse or hinder.	patent affairs and the department responsible for patent enforcement handles, investigates and disposes a suspected act of passing off of patent or patent infringement based on evidence obtained, it may inquire the parties concerned, and investigate the circumstances related to the suspected illegal act; it may conduct field inspection of the places where the suspected illegal act takes place; it may review and copy the relevant contracts, invoices, accounting books, and other related materials; it may inspect the products related to the suspected illegal act, and seal or seize the products that have been proved to be patent passing-off products.  When the department administrating patent affairs and the department responsible for patent enforcement performs its duties as prescribed in the preceding paragraph, the parties concerned shall provide assistance and cooperation, and shall not refuse or hinder.	proliferation of administrative enforcement mechanisms at the country, provincial, and municipal level may create additional conflicts and prevent the development of clear uniform rules and practice. AIPLA respectfully submits that private enforcement through the courts should be the primary enforcement mechanism and may be better able to serve these goals more efficiently.  AIPLA also has concerns regarding the power to investigate and obtain confidential information including trade secrets in the investigation of suspected acts of patent infringement. These powers are not available to litigants in civil patent litigation and will discourage undertaking manufacturing or R&D in China where related confidential information including trade secrets would be at risk of seizure by local departments.
	第七十条 (新增) 国务院专利行政部门可以应专利权人	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	或者利害关系人的请求处理 <mark>在全国有重大</mark> 影响的专利侵权纠纷。	
	地方人民政府管理专利工作的部门应 专利权人或者利害关系人请求处理专利侵 权纠纷,对在本行政区域内侵犯其同一专 利权的案件可以合并处理;对跨区域侵犯 其同一专利权的案件可以请求上级人民政 府管理专利工作的部门处理。	
	Article 70 (New)	
	The patent administration department under the State Council may handle patent infringement disputes that are of nationwide significance in response to the request of the patentee or interested parties.  The department administrating patent affairs of	AIPLA respectfully notes that the proposed Article 70 gives the patent administration department under the State Council discretion to handle any dispute over patent infringement that has a significant impact throughout the country.
	a local people's government handles patent	The proposed Article 70 also mandates that
	requests of patentee or interested parties, and may consolidate those cases that relate to the	the department administrating patent affairs of the local people's government discretion shall, at the request of the patentee or any
	infringement of the same patent that occur within its administrative jurisdiction; the cases	interested party, handle any dispute concerning patent infringement, and gives

现行专利法	专利法(修正案草案)	AIPLA Comments
<b>Current Patent Law</b>	Chinese Patent Law (Draft Amendment)	
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	that involve the infringement of the same	the department discretion to handle all cases
	patent occurring in cross-jurisdictions may be	of infringement of the same patent within its
	requested to be handled by the department	own administrative region in a consolidated
	administrating patent affairs of the upper level	manner.
	people's government.	Finally, Article 70 gives a higher level of the
		administrative department for patent affairs
		of the people's government discretion to
		handle any cross-regional infringement of the
		same patent.
		•
		AIPLA respectfully submits that, consistent
		with international norms of patent protection
		and the provisions of TRIPS and WTO,
		private enforcement should be the primary
		mechanism for enforcement of patent rights.
		Thus, China's courts, rather than
		administrative agencies, may be the better
		authority empowered to handle patent
		disputes, absent agreement of all parties. This
		is the situation in most innovative
		jurisdictions, and the Chinese courts have
		adjudicated patent cases for over 30 years,
		gaining significant expertise in determining
		patent infringement and imposing

Current Patent Law (Draft Amendment) (2019.01)	
enforcement autiand administrative ag weakening of prince inconsistency in increasing unpresent the markets to the innovative society.  Moreover, propose specify how to reconflicts, e.g. sitter parties request distribute the same claim. The resolving such conserving suc	erned that dividing hority between the courts and gencies may lead to ivate enforcement, such determinations, and edictability of business and the ultimate detriment to an

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	第七十一条 (新增) 专利权人或者利害关系人可以依据人 民法院生效的判决书、裁定书、调解书,	
	或者管理专利工作的部门作出的责令停止 侵权的决定,通知网络服务提供者采取删	
	除、屏蔽、断开侵权产品链接等必要措施。网络服务提供者接到通知后未及时采取必要措施的,对损害的扩大部分与侵权	
	网络用户承担连带责任。	
	<u>负责专利执法的部门对假冒专利作出</u> <u>责令改正的决定后,可以通知网络服务提</u>	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
	供者采取删除、屏蔽、断开假冒专利产品 链接等必要措施。网络服务提供者接到通 知后应当及时采取必要措施。	
	Article 71 (New)	
	The patentee or an interested party may,	
	based on an effective judgement, order, or	
	mediation agreement issued by the people's court or an order for cessation of infringement	
	made by the administrative department for	
	patent affairs, notify an Internet Service	
	Provider to take necessary measures such as	
	deleting, blocking or disconnecting the links to	
	the infringement products. If the Internet	
	Service Provider fails to take necessary	
	measures in time after receiving the	
	notification, it shall be, together with the	
	infringing internet user, jointly liable to any additional damage incurred.	
	additional damage incurred.	
	After the administration department	
	responsible for patent enforcement issues a	
	decision to order correction of patent passing	
	off, it may notify the Internet Service Provider	

现行专利法	专利法(修正案草案)	AIPLA Comments
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	to take necessary measures such as deleting, blocking or disconnecting the links to the patent passing-off products. The Internet Service Provider shall take necessary measures in a timely fashion.	
第六十五条 侵犯专利权的赔偿数额按照权利人因 被侵权所受到的实际损失确定;实际损失 难以确定的,可以按照侵权人因侵权所获 得的利益确定。权利人的损失或者侵权人 获得的利益难以确定的,参照该专利许可 使用费的倍数合理确定。赔偿数额还应当 包括权利人为制止侵权行为所支付的合理 开支。 权利人的损失、侵权人获得的利益和 专利许可使用费均难以确定的,人民法院 可以根据专利权的类型、侵权行为的性质 和情节等因素,确定给予一万元以上一百 万元以下的赔偿。	第七十二条 侵犯专利权的赔偿数额按照权利人因 被侵权所受到的实际损失确定;实际损失 难以确定的,可以按照侵权人因侵权所获 得的利益确定。权利人的损失或者侵权人 获得的利益难以确定的,参照该专利许可 使用费的倍数合理确定。对故意侵犯专 利权,情节严重的,可以在按照上述方 法确定数额的一倍以上五倍以下确定赔 偿数额赔偿数额还应当包括权利人为制止 侵权行为所支付的合理开支。 权利人的损失、侵权人获得的利益和 专利许可使用费均难以确定的,人民法院 可以根据专利权的类型、侵权行为的性质 和情节等因素,确定给予	

现行专利法	专利法(修正案草案)	AIPLA Comments
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	<mark>万元以下十万元以上五百万元以下</mark> 的赔 偿。	
	赔偿数额还应当包括权利人为制止侵 权行为所支付的合理开支。	
	人民法院为确定赔偿数额,在权利人 已经尽力举证,而与侵权行为相关的账 簿、资料主要由侵权人掌握的情况下,可 以责令侵权人提供与侵权行为相关的账 簿、资料;侵权人不提供或者提供虚假的	
	账簿、资料的,人民法院可以参考权利人 的主张和提供的证据判定赔偿数额。	
	Article 72	AIPLA commends revisions that would
	The amount of damage for patent right	increase the amount of damages available for
	infringement shall be determined according to	willful infringement and that would mitigate
	the patentee's actual losses caused by the	evidentiary challenges in proving damages
	infringement. If the actual losses is hard to	resulting from the infringement when
Article 65	determine, the amount may be determined	defendant is in control of the relevant
The amount of damage for patent right	according to the infringer's profits through the	evidence.
infringement shall be determined according to the patentee's actual losses caused by the infringement. If the actual losses is hard to	infringement. If both the patentee's losses and the infringer's profits are hard to determine, the amount may be determined based on the	AIPLA has the following concerns about the revisions in this Article 72.
determine, the amount may be determined	reasonably multiplied amount of the royalties	First, compared to the previous draft, the

## 现行专利法 专利法(修正案草案) **AIPLA Comments Current Patent Law Chinese Patent Law (Draft Amendment)** (2019.01)according to the infringer's profits through the With respect to willful patent willful infringement damage has been of the patent. infringement. If both the patentee's losses and infringement, for serious circumstances, the laudably increased from 2-3 fold to 1-5 fold. However, the revision may be interpreted to the infringer's profits are hard to determine, the damage may be set at an amount between one and five times the amount determined by the amount may be determined based on the mean that an increased damage award for reasonably multiplied amount of the royalties aforementioned methods. The amount of willful infringement is only applicable in of the patent. The amount of damage shall damage shall include the reasonable expenses "serious circumstances." In other words, to paid by the patentee for stop the infringement. recover increased damages, a patent owner include the reasonable expenses paid by the must prove that infringement was both If the losses of the patentee, benefits of the patentee for stop the infringement. If the losses of the patentee, benefits of the infringer, or royalties of the patent are all hard "willful" and the circumstances were serious. infringer, or royalties of the patent are all hard This further heightened requirement for to determine, the people's court may, on the "serious circumstances" seems inappropriate to determine, the people's court may, on the basis of the factors such as the type of patent basis of the factors such as the type of patent right, nature of the infringement, and and is inconsistent with international norms for awarding enhanced damages. AIPLA right, nature of the infringement, and seriousness of the case, determine the amount seriousness of the case, determine the amount of damage between RMB 100,000 and suggests alternate language as follows: With 5.000.000 <del>10.000 to 1.000.000</del>. respect to willful patent infringement, the of damage between RMB 10,000 and 1,000,000. The amount of damage shall include the damage may be set at an amount between reasonable expenses paid by the patentee for one and three times the amount determined stop the infringement. by the aforementioned methods, and further For the purpose of determining damage increased to an amount between three and amount, in the situation that patentee has made five times in serious circumstances. every effort to provide evidence, but the Additionally, the article does not provide any accounting books and materials related to the guideline on what would be considered as infringement are controlled by the accused "serious circumstances." This leaves the

The English translation of the Chinese Patent Law (Draft Amendment) (2019.01) is provided for convenient reference. We make no warranty and accept no liability for the accuracy of the translation. Please refer to the Chinese original should you have any uncertainty about the translation.

business community without clear guidance

infringer, the people's court may order the

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment)	
	(2019.01)	
	accused infringer to provide such. If the	when these provisions would be triggered.
	accused infringer fails to provide the account	China employs a civil law system, as distinct
	books and materials or provides fake account	from a common law system. the
	books and materials, the people's court may	Amendment, therefore, should provide clear
	determine the amount of damage by	guidance. AIPLA suggests that the Patent
	referencing to the patentee's claims and	Law provide clearer guidance regarding what
	<u>evidence.</u>	factors are considered in determining
		willfulness and when such circumstances are
		considered "serious." For example, is
		knowledge of the patent sufficient or are
		other factors required?
		By specifying the circumstances in which the
		people's court may order the infringer to
		provide the accounting books and materials
		relating to infringement, and penalties for failure to do so, or for providing false
		accounting books or materials, the proposed
		amendments to Article 72 laudably make it
		easier for the patent infringement claimant to
		establish the extent of infringing activity for
		purposes of proving his or its damages, or
		the basis for calculating royalties. The
		proposed language seems appropriate.

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第六十六条		
专利权人或者利害关系人有证据证明	专利权人或者利害关系人有证据证明	
他人正在实施或者即将实施侵犯专利权的 行为,如不及时制止将会使其合法权益受	他人正在实施或者即将实施侵犯专利权的 行为,如不及时制止将会使其合法权益受	
到难以弥补的损害的,可以在起诉前向人 民法院申请采取责令停止有关行为的措	到难以弥补的损害的,可以在起诉前向人 民法院申请采取责令停止有关行为的措	
施。	施。	
申请人提出申请时,应当提供担保; 不提供担保的,驳回申请。	<mark>申请人提出申请时,应当提供担保;</mark> <del>不提供担保的,驳回申请。</del>	
人民法院应当自接受申请之时起四十	人民法院应当自接受申请之时起四十	
八小时内作出裁定;有特殊情况需要延长 的,可以延长四十八小时。裁定责令停止	八小时内作出裁定;有特殊情况需要延长 的,可以延长四十八小时。裁定责令停止	
有关行为的,应当立即执行。当事人对裁 定不服的,可以申请复议一次;复议期间	有关行为的,应当立即执行。当事人对裁 定不服的,可以申请复议一次;复议期间	
不停止裁定的执行。	不停止裁定的执行。	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment)	
	(2019.01)	
申请人自人民法院采取责令停止有关	申请人自人民法院采取责令停止有关	
行为的措施之日起十五日内不起诉的,人	<del>行为的措施之目起十五目内不起诉的,人</del>	
民法院应当解除该措施。	<mark>民法院应当解除该措施。</mark>	
申请有错误的,申请人应当赔偿被申	申请有错误的,申请人应当赔偿被申	
请人因停止有关行为所遭受的损失。	请人因停止有关行为所遭受的损失。	
Article 66	Article 73	
If the patentee or interested party has	If the patentee or interested party has	
evidence to prove that another person is	evidence to prove that another person is	
committing or is about to commit a patent	committing or is about to commit a patent	
infringement, which, unless stopped timely,	infringement, which, unless stopped timely,	
may cause irreparable harm to its lawful rights	may cause irreparable harm to its lawful rights	
and interests, it may, before taking legal action,	and interests, it may, before taking legal action,	
file an application to request that the people's	file an application to request that the people's	
court order to have such act ceased.	court order to have such act ceased.	
When filing such an application, the	When filing such an application, the	
applicant shall provide a bond. In the event	applicant shall provide a bond. In the event	
of failure to provide a bond, the application	of failure to provide a bond, the application	
shall be rejected.	shall be rejected.	
The people's court shall make a ruling	The people's court shall make a ruling	
within 48 hours from the time of its acceptance	within 48 hours from the time of its acceptance	
of the application. If an extension is needed	of the application. If an extension is needed-	
under special circumstances, a 48-hour	under special circumstances, a 48-hour-	
extension may be granted.  If a ruling is made	extension may be granted. If a ruling is made	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment)	
	(2019.01)	
to order to have the relevant act ceased, it shall	to order to have the relevant act ceased, it shall	
be enforced immediately. The party	be enforced immediately. The party	
disagreeing with the ruling may file once for	disagreeing with the ruling may file once for	
appeal, and the enforcement shall not be	appeal, and the enforcement shall not be	
suspended during the appeal.	suspended during the appeal.	
If the applicant does not take legal action	— If the applicant does not take legal action—	
within 15 days from the date the people's court	within 15 days from the date the people's court	
takes measures to have the relevant act ceased,	takes measures to have the relevant act ceased,	
the people's court shall lift such measures.	the people's court shall lift such measures.	
If the application is wrong, the applicant	If the application is wrong, the applicant	
shall compensate the losses suffered by	shall compensate the losses suffered by	
respondent due to ceasing of the relevant act.	respondent due to ceasing of the relevant act.	
第六十七条	第七十四条	
为了制止专利侵权行为,在证据可能	为了制止专利侵权行为,在证据可能	
灭失或者以后难以取得的情况下,专利权	灭失或者以后难以取得的情况下,专利权	
人或者利害关系人可以在起诉前向人民法	人或者利害关系人可以在起诉前 <mark>依法</mark> 向人	
院申请保全证据。	民法院申请保全证据。	
人民法院采取保全措施,可以责令申	人民法院采取保全措施,可以责令申	
请人提供担保;申请人不提供担保的,驳	<mark>请人提供担保;申请人不提供担保的,驳</mark>	
回申请。	<del>回申请。</del>	
人民法院应当自接受申请之时起四十	人民法院应当自接受申请之时起四十	
八小时内作出裁定;裁定采取保全措施	<del>八小时内作出裁定,裁定采取保全措施</del>	
的,应当立即执行。	<mark>的,应当立即执行。</mark>	

现行专利法	专利法(修正案草案)
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)
申请人自人民法院采取保全措施之日 起十五日内不起诉的,人民法院应当解除 该措施。	申请人自人民法院采取保全措施之日 起十五日内不起诉的,人民法院应当解除 该措施。
Article 67	Article 74
To stop a patent infringement act, in the	To stop a patent infringement act, in the
situation where evidence might be lost or hard	situation where evidence might be lost or hard
to acquire thereafter, a patentee or interested	to acquire thereafter, a patentee or interested
party may, before taking legal action, file an	party may, before taking legal action, file an
application with the people's court for evidence	application in accordance with the law with the
preservation.	people's court for evidence preservation.
If the people's court takes preservation	If the people's court takes preservation
measures, it may order the applicant to provide	measures, it may order the applicant to provide
a bond, and reject the application if the	a bond, and reject the application if the
applicant fails to provide a bond.	applicant fails to provide a bond.
The people's court shall make a ruling	The people's court shall make a ruling
within 48 hours from the time of its acceptance	within 48 hours from the time of its acceptance
of the application. If it rules to take	of the application. If it rules to take
preservation measures, such a ruling shall be	preservation measures, such a ruling shall be
enforced immediately.	enforced immediately.
If the applicant does not take legal action	If the applicant does not take legal action
within 15 days from the date the people's court	within 15 days from the date the people's court-
takes preservation measures, the people's court	takes preservation measures, the people's court-
shall lift such measures.	shall lift such measures.

	T	T
现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第六十八条 侵犯专利权的诉讼时效为二年,自专 利权人或者利害关系人得知或者应当得知 侵权行为之日起计算。 发明专利申请公布后至专利权授予前 使用该发明未支付适当使用费的,专利权 人要求支付使用费的诉讼时效为二年,自 专利权人得知或者应当得知他人使用其发 明之日起计算,但是,专利权人于专利权 授予之日前即已得知或者应当得知的,自 专利权授予之日起计算。	第七十五条 侵犯专利权的诉讼时效为二三年,自 专利权人或者利害关系人得知或者应当得 知侵权行为之日起计算。 发明专利申请公布后至专利权授予前 使用该发明未支付适当使用费的,专利权 人要求支付使用费的诉讼时效为二三年, 自专利权人得知或者应当得知他人使用其 发明之日起计算,但是,专利权人于专利 权授予之日前即已得知或者应当得知的, 自专利权授予之日起计算。	AIPLA commends the extension of the statute of limitation in bringing a patent infringement lawsuit from 2 years to 3 years.
Article 68	Article 75	
The statute of limitation for action against patent right infringement shall be two years, starting from the date when the patentee or interested party learns about or should have learned about the infringement.  If an appropriate royalty is not paid for exploiting an invention during the period from the publication of an invention patent application to the grant of the patent right, the statute of limitation for the patentee to take legal action requesting payment of royalties	The statute of limitation for action against patent right infringement shall be two three years, starting from the date when the patentee or interested party learns about or should have learned about the infringement.  If an appropriate royalty is not paid for exploiting an invention during the period from the publication of an invention patent application to the grant of the patent right, the statute of limitation for the patentee to take legal action requesting payment of royalties	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
shall be two years, starting from the date when the patentee learns about or should have learned about the exploitation of the patent by another. However, the statute of limitation for action shall start from the date when the patent right is granted, if the patentee learns about or should have learned about the exploitation before the patent right is granted.	shall be two three years, starting from the date when the patentee learns about or should have learned about the exploitation of the patent by another. However, the statute of limitation for action shall start from the date when the patent right is granted, if the patentee learns about or should have learned about the exploitation before the patent right is granted.	
第六十九条 有下列情形之一的,不视为侵犯专利 权: (一)专利产品或者依照专利方法直 接获得的产品,由专利权人或者经其许可 的单位、个人售出后,使用、许诺销售、 销售、进口该产品的; (二)在专利申请日前已经制造相同 产品、使用相同方法或者已经作好制造、 使用的必要准备,并且仅在原有范围内继 续制造、使用的; (三)临时通过中国领陆、领水、领 空的外国运输工具,依照其所属国同中国 签订的协议或者共同参加的国际条约,或	第七十六条 有下列情形之一的,不视为侵犯专利 权: (一)专利产品或者依照专利方法直 接获得的产品,由专利权人或者经其许可 的单位、个人售出后,使用、许诺销售、 销售、进口该产品的; (二)在专利申请日前已经制造相同 产品、使用相同方法或者已经作好制造、 使用的必要准备,并且仅在原有范围内继 续制造、使用的; (三)临时通过中国领陆、领水、领 空的外国运输工具,依照其所属国同中国 签订的协议或者共同参加的国际条约,或	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
在其装置和设备中使用有关专利的; (四)专为科学研究和实验而使用有 关专利的; (五)为提供行政审批所需要的信 息,制造、使用、进口专利药品或者专利 医疗器械的,以及专门为其制造、进口专 利药品或者专利医疗器械的。	在其装置和设备中使用有关专利的; (四)专为科学研究和实验而使用有关专利的; (五)为提供行政审批所需要的信息,制造、使用、进口专利药品或者专利医疗器械的,以及专门为其制造、进口专利药品或者专利医疗器械的。	
Article 69	Article 76	
The following acts do not constitute patent infringement:  (1) After a patented product or a product	The following acts do not constitute patent infringement:  (1) After a patented product or a product	
directly obtained by using the patented method is sold by the patentee or sold by any employer entity or individual with the permission of the	directly obtained by using the patented method is sold by the patentee or sold by any employer entity or individual with the permission of the	
patentee, any other person uses, offers to sell, sells or imports that product; (2) Before the date of patent application, any	patentee, any other person uses, offers to sell, sells or imports that product;  (2) Before the date of patent application, any	
other person has already manufactured identical products, used identical method or	other person has already manufactured identical products, used identical method or	
has made necessary preparations for the manufacture or use and continues to manufacture the products or use the method within the original scope;	has made necessary preparations for the manufacture or use and continues to manufacture the products or use the method within the original scope;	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
(3) With respect to any foreign means of transportation that temporarily passes through the territory, territorial waters, or territorial airspace of China, the relevant patent is used in the devices and installations for its own needs, in accordance with the agreement concluded between the country it belong to and China, or in accordance with any international treaty to which both countries have acceded, or on the principle of mutual benefit; (4) Any person uses the relevant patent specially for the purpose of scientific research and experimentation; and (5) Any person produces, uses, or imports patented pharmaceuticals or patented medical apparatus and instruments, for the purpose of providing information required for administrative examination and approval, or produces or any other person imports patented	(3) With respect to any foreign means of transportation that temporarily passes through the territory, territorial waters, or territorial airspace of China, the relevant patent is used in the devices and installations for its own needs, in accordance with the agreement concluded between the country it belong to and China, or in accordance with any international treaty to which both countries have acceded, or on the principle of mutual benefit;  (4) Any person uses the relevant patent specially for the purpose of scientific research and experimentation; and  (5) Any person produces, uses, or imports patented pharmaceuticals or patented medical apparatus and instruments, for the purpose of providing information required for administrative examination and approval, or produces or any other person imports patented	
pharmaceuticals or patented medical apparatus and instruments especially for that person.	pharmaceuticals or patented medical apparatus and instruments especially for that person.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第七十条 为生产经营目的使用、许诺销售或者 销售不知道是未经专利权人许可而制造并 售出的专利侵权产品,能证明该产品合法 来源的,不承担赔偿责任。	第七十七条 为生产经营目的使用、许诺销售或者 销售不知道是未经专利权人许可而制造并 售出的专利侵权产品,能证明该产品合法 来源的,不承担赔偿责任。	
Article 70	Article 77	
Those who, for the purpose of production and business operation, use, offer to sell, or sells a patent-infringing product without knowing that such product is produced and sold without the permission of the patentee, but can prove the legitimate source of the product, shall not be liable for damages.	Those who, for the purpose of production and business operation, use, offer to sell, or sells a patent-infringing product without knowing that such product is produced and sold without the permission of the patentee, but can prove the legitimate source of the product, shall not be liable for damages.	
第七十一条 违反本法第二十条规定向外国申请专 利,泄露国家秘密的,由所在单位或者上 级主管机关给予行政处分;构成犯罪的, 依法追究刑事责任。	第七十八条 违反本法第二十条规定向外国申请专 利,泄露国家秘密的,由所在单位或者上 级主管机关给予行政处分;构成犯罪的, 依法追究刑事责任。	
Article 71	Article 78	
Anyone who files a an application for	Anyone who files a an application for	
patent in a foreign country, in violation of the	patent in a foreign country, in violation of the	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
provisions of Article 20 of this Law, which results in the divulging national secrets, shall be given administrative sanction by the employer entity or the competent authority at a higher level. Criminal liability shall be pursued in accordance with the laws if such act constitutes a crime.	provisions of Article 20 of this Law, which results in the divulging national secrets, shall be given administrative sanction by the employer entity or the competent authority at a higher level. Criminal liability shall be pursued in accordance with the laws if such act constitutes a crime.	
第七十二条	Original Article 72 deleted  If a person usurps the right of an inventor or designer to apply for a nonemployment invention patent, or usurps any other rights and interests of an inventor or designer specified in this Law, he shall be given an administrative sanction by the employer entity or the upper level authority.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第七十三条 管理专利工作的部门不得参与向社会 推荐专利产品等经营活动。 管理专利工作的部门违反前款规定 的,由其上级机关或者监察机关责令改 正,消除影响,有违法收入的予以没收; 情节严重的,对直接负责的主管人员和其 他直接责任人员依法给予行政处分。	第七十九条 管理专利工作的部门不得参与向社会 推荐专利产品等经营活动。 管理专利工作的部门违反前款规定 的,由其上级机关或者监察机关责令改 正,消除影响,有违法收入的予以没收; 情节严重的,对直接负责的主管人员和其 他直接责任人员依法给予行政处分。	
Article 73	Article 79	
The departments administering patent	The departments administering patent	
affairs shall not engage in commercial	affairs shall not engage in commercial	
activities such as promoting patented products	activities such as promoting patented products	
to the public.	to the public.	
If a department administering patent	If a department administering patent	
affairs violates the provisions of the preceding	affairs violates the provisions of the preceding	
paragraph, its higher authority or supervisory	paragraph, its higher authority or supervisory	
authority shall order it to rectify, and confiscate	authority shall order it to rectify, and confiscate	
its unlawful gains, if any; if the circumstances	its unlawful gains, if any; if the circumstances	
are serious, the principal leading person	are serious, the principal leading person	
directly in charge and the other persons	directly in charge and the other persons	
directly responsible shall be given	directly responsible shall be given	
administrative sanctions in accordance with the	administrative sanctions in accordance with the	
laws.	laws.	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第七十四条 从事专利管理工作的国家机关工作人 员以及其他有关国家机关工作人员玩忽职 守、滥用职权、徇私舞弊,构成犯罪的, 依法追究刑事责任;尚不构成犯罪的,依 法给予行政处分。	第八十条 从事专利管理工作的国家机关工作人 员以及其他有关国家机关工作人员玩忽职 守、滥用职权、徇私舞弊,构成犯罪的, 依法追究刑事责任;尚不构成犯罪的,依 法给予行政处分。	
Article 74  Any staff member of the government department engaged in administration of patent affairs or of a relevant department who neglects his or her duty, abuses his or her power, or commits irregularities for personal gain shall be pursued for criminal liability in accordance with law if such act constitutes a crime. If the act does not constitute a crime, an administrative sanction should be given in accordance with the laws.	Article 80  Any staff member of the government department engaged in administration of patent affairs or of a relevant department who neglects his or her duty, abuses his or her power, or commits irregularities for personal gain shall be pursued for criminal liability in accordance with law if such act constitutes a crime. If the act does not constitute a crime, an administrative sanction should be given in accordance with the laws.	
第八章 附 则 Chapter 8 Supplementary Provisions	第八章 附 则 Chapter 8 Supplementary Provisions s	

现行专利法	专利法(修正案草案)	AIPLA Comments
Current Patent Law	Chinese Patent Law (Draft Amendment) (2019.01)	
第七十五条	第八十 <del>一条</del>	
向国务院专利行政部门申请专利和办 理其他手续,应当按照规定缴纳费用。	向国务院专利行政部门申请专利和办 理其他手续,应当按照规定缴纳费用。	
Article 75	Article 81	
In applying for patents or going through other procedures at the patent administrative department under the State Council, fees shall be paid in accordance with relevant regulations.	In applying for patents or going through other procedures at the patent administrative department under the State Council, fees shall be paid in accordance with relevant regulations.	
第七十六条 本法自 1985 年 4 月 1 日起施行。 Article 76 This Law shall go into effect on April 1, 1985.		